

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L29219WB1985PLC055352

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACS1425L

(ii) (a) Name of the company

SREI INFRASTRUCTURE FINANC

(b) Registered office address

VISHWAKARMA,86C,TOPSIA ROAD (SOUTH),
KOLKATA
West Bengal
700046
India

(c) *e-mail ID of the company

secretarial@srei.com

(d) *Telephone number with STD code

03361607734

(e) Website

www.srei.com

(iii) Date of Incorporation

29/03/1985

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Ltd.	1
2	National Stock Exchange of India Ltd.	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

30/09/2022

(b) Due date of AGM

30/09/2022

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	85.2

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

12

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ADISRI COMMERCIAL PRIVATE	U67190WB2014PTC199720	Holding	60.36
2	SREI CAPITAL MARKETS LIMITE	U67190WB1998PLC087155	Subsidiary	100

3	TRINITY ALTERNATIVE INVESTM	U65999WB1994PLC065722	Subsidiary	51
4	HYDERABAD INFORMATION TE	U72200TG1998PLC029282	Subsidiary	26
5	CYBERABAD TRUSTEE COMPAN	U72200TG1999PTC033128	Subsidiary	26
6	BENGAL SREI INFRASTRUCTUR	U70109WB2004PLC100517	Subsidiary	51
7	CONTROLLA ELECTROTECH PV	U29303WB1991PTC052455	Subsidiary	100
8	SREI MUTUAL FUND ASSET MA	U65990WB2009PTC139801	Subsidiary	100
9	SREI MUTUAL FUND TRUST PRI	U65990WB2009PTC139790	Subsidiary	100
10	SREI INSURANCE BROKING PRI	U67120WB2002PTC095019	Subsidiary	100
11	SREI ASSET LEASING LIMITED	U65999WB2014PLC202301	Subsidiary	100
12	SREI EQUIPMENT FINANCE LIM	U70101WB2006PLC109898	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,000,000,000	503,086,333	503,086,333	503,086,333
Total amount of equity shares (in Rupees)	10,000,000,000	5,030,863,330	5,030,863,330	5,030,863,330

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10/- each				
Number of equity shares	1,000,000,000	503,086,333	503,086,333	503,086,333
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	10,000,000,000	5,030,863,330	5,030,863,330	5,030,863,330

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	50,000,000	0	0	0
Total amount of preference shares (in rupees)	5,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares of Rs.100/- each				
Number of preference shares	50,000,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	5,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	2,674,457	500,411,876	503086333	5,030,863,3	5,030,863,3	
Increase during the year	0	19,091	19091	0	0	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		19,091	19091			
Conversion of shares held in physical mode to demat						
Decrease during the year	19,091	0	19091	0	0	0
i. Buy-back of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	0

iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	19,091		19091			
Conversion of shares held in physical mode to dem						
At the end of the year	2,655,366	500,430,967	503086333	5,030,863,3	5,030,863,	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input style="width: 100%;" type="text"/>	
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>
Ledger Folio of Transferor		<input style="width: 100%;" type="text"/>	
Transferor's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input style="width: 100%;" type="text"/>	
Transferee's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>

Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	3,300	1000000	3,300,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			3,300,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	3,300,000,000	0	0	3,300,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

277,079,300

(ii) Net worth of the Company

-445,326,581

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,182,714	0.43	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	303,685,845	60.36	0	
10.	Others	0	0	0	
	Total	305,868,559	60.79	0	0

Total number of shareholders (promoters)

3

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	155,656,024	30.94	0	
	(ii) Non-resident Indian (NRI)	10,905,664	2.17	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	473,789	0.09	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	26,290,708	5.23	0	
10.	Others Clearing Members, Trusts and + +	3,891,589	0.77	0	
	Total	197,217,774	39.2	0	0

Total number of shareholders (other than promoters)

118,388

**Total number of shareholders (Promoters+Public/
Other than promoters)**

118,391

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SPDR S AND P EMERG + +	HSBC SECURITIES SERVICES 11TH F + +		IN	236,844	0.05
BELG IAN INVESTMEN + +	188A AVENUE DE TERVUREN B4 B- + +		NO	180,000	0.04
ANTONY TF LUNDY + +	Tower 3, Wing B, Kohinoor City Ma + +		IN	52,000	0.01
COPTHALL MAURITIUS + +	J.P.MORGAN CHASE BANK N.A.IND + +		IN	3,145	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SOCIETE GENERALE	SBI SG GLOBAL SECURITIES SERVICE		IN	1,800	

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	3	3
Members (other than promoters)	85,539	118,388
Debenture holders	7	7

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	0	0	0.08	0
B. Non-Promoter	0	7	0	0	0	0.36
(i) Non-Independent	0	1	0	0	0	0.36
(ii) Independent	0	6	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	0	0	0.08	0.36

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

2

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MANOJ KUMAR	AJKPK8315P	Company Secretary	0	
MANOJ KUMAR	AJKPK8315P	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

12

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
HEMANT KANORIA	00193015	Whole-time director	04/10/2021	SUPERSESSION OF BOARD
SUNIL KANORIA	00421564	Director	04/10/2021	SUPERSESSION OF BOARD
TAMALI SENGUPTA	00358658	Director	04/10/2021	SUPERSESSION OF BOARD
RAM KRISHNA AGARWAL	00416964	Director	17/09/2021	RESIGNATION
MALAY MUKHERJEE	02272425	Director	04/10/2021	SUPERSESSION OF BOARD
PUNITA KUMAR SINHA	05229262	Director	04/10/2021	SUPERSESSION OF BOARD
SRNIVASACHARI RAJESH	00022609	Director	30/06/2021	RESIGNATION
SHYAMALENDU CHATTERJEE	00048249	Director	04/10/2021	SUPERSESSION OF BOARD
SANDEEP KUMAR SURI	ALWPS5812P	CFO	07/12/2021	RESIGNATION
RAKESH KUMAR BHUNIA	ADPPB9322E	CEO	15/09/2021	RESIGNATION
MANOJ KUMAR	AJKPK8315P	CFO	08/12/2021	APPOINTED AS CFO
MANOJ KUMAR	AJKPK8315P	Company Secretary	01/04/2021	APPOINTED AS CS

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENEED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	25/09/2021	85,539	76	61.84

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	01/04/2021	8	7	87.5
2	23/04/2021	8	8	100
3	21/05/2021	8	8	100
4	07/06/2021	8	8	100
5	30/06/2021	9	9	100
6	14/08/2021	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	30/06/2021	3	3	100
2	Audit Committee	14/08/2021	3	3	100
3	Nomination and Remuneration	01/04/2021	3	3	100
4	Nomination and Remuneration	13/05/2021	3	3	100
5	Nomination and Remuneration	30/06/2021	3	3	100
6	Nomination and Remuneration	14/08/2021	3	3	100
7	Corporate Social Responsibility	16/06/2021	3	3	100
8	Stakeholders Forum	13/05/2021	3	3	100
9	Stakeholders Forum	07/07/2021	3	3	100
10	Stakeholders Forum	01/09/2021	3	3	100

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	HEMANT KANORIA	Wholetime Director	0	0	0	0	0
	Total		0	0	0	0	0

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rakesh Kumar Bhu	CEO	11,557,560	0	0	0	11,557,560
2	Manoj Kumar	CS&CFO	3,638,892	0	0	0	3,638,892
3	Sourendranath Mul	Deputy CEO	6,584,816	0	0	0	6,584,816
4	Sandeep Kumar Su	CFO	5,505,405	0	0	0	5,505,405
	Total		27,286,673	0	0	0	27,286,673

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sunil Kanoria	Vice Chairman	0	0	0	650,000	650,000
2	Srinivasachari Raja	INDEPENDENT	0	0	0	750,000	750,000
3	Shyamalendu Chatt	INDEPENDENT	0	0	0	825,000	825,000
4	Punita Kumar Sinha	INDEPENDENT	0	0	0	900,000	900,000
5	Malay Mukherjee	INDEPENDENT	0	0	0	1,200,000	1,200,000
6	R. K. Agarwal	INDEPENDENT	0	0	0	875,000	875,000
7	Deepali Pant Rajee	INDEPENDENT	0	0	0	275,000	275,000
8	Tamali Sengupta	INDEPENDENT	0	0	0	850,000	850,000
	Total		0	0	0	6,325,000	6,325,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

 Associate Fellow

Certificate of practice number

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

DeclarationI am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach
Attach
Attach
Attach

List of attachments

Details of transfer_31032022.pdf Perpetual Debentures.pdf SIF_Details of FIIIs_31032022.pdf MGT-8.pdf
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This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company