



SEFL/SECT/MS/21-22/49

November 10, 2021

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1, G Block
Bandra – Kurla Complex
Bandra (E), Mumbai – 400 051

Dear Sir,

Sub: Anticipated delay in submission of financial results for the Quarter and Half Year ended 30th September, 2021

This is in furtherance of our previous intimation whereby it was intimated that pursuant to order dated October 08, 2021 of the National Company Law Tribunal, Kolkata Bench (“NCLT”), corporate insolvency resolution process (“CIRP”) has been initiated against Srei Equipment Finance Limited (SEFL), and Srei Infrastructure Finance Limited (SIFL), Holding Company (collectively “Companies”), respectively, as per the provisions of the Insolvency and Bankruptcy Code, 2016 (“Code”).

Shri Rajneesh Sharma has been appointed as the Administrator for the Companies.

Pursuant to Regulation 52(1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (‘SEBI Listing Regulations, 2015’), SEFL is required to submit the Unaudited Financial Results for the Quarter and Half-Year ended on 30th September, 2021 to the stock exchange within forty-five days of end of the quarter i.e. within 14th November, 2021.

However, in view of the aforesaid NCLT Order, we wish to inform you that there is a possibility of delay in preparation and hence finalisation of the unaudited financial results for the Quarter and Half-Year ended on 30th September, 2021 will take some additional time.

Accordingly, request has been made to SEBI under copy to the Stock Exchanges to allow us an additional 45 days period i.e. till 30th December, 2021 to be able to finalise and submit / publish the said financial results for the Quarter and Half-Year ended on 30th September, 2021.

Therefore, requisite intimation under Regulation 50 of SEBI Listing Regulations, 2015 regarding the date of consideration and approval of the Unaudited Financial Results of the Company for the Quarter and Half-Year ended on 30th September, 2021 shall be made in due course.

Further, in continuation to our intimation dated 28th September, 2021 and in accordance with the Company’s Code of Conduct for Prohibition of Insider Trading (SEFL Insider Code),

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +81 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700046



framed pursuant to the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, the trading window for dealing in the securities of the Company is already closed from Friday, 1st October, 2021.

The trading window will open after 48 (forty eight) Hours from the announcement to the Stock Exchanges of the Financial Results of the Company for the Quarter and Half Year ended on 30th September, 2021. The date of re-opening of trading window will be notified in due course.

Accordingly, all the Insiders (including Directors and Designated Persons as per SEFL Insider Code and their immediate relatives) of the Company are being intimated not to enter into any transaction involving the securities of the Company during the aforesaid period of closure of Trading Window.

Please arrange to bring the same to the Notice of all concerned.

Thanking you.

Yours faithfully,
For **Srei Equipment Finance Limited**

Sumit Surana
Company Secretary
ACS 19243

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