



SEFL\SECT\MS\21-22\08

April 14, 2021

BSE Limited

Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai – 400 001
Fax: 022-2272 2037/2039/2041/3121

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor
Plot No. C/1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai – 400 051
Fax: 022-2659 8237/38; 2659 8347/48

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 31st March, 2021

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 31st March, 2021.

This is for your information and record.

Thanking you,

Yours faithfully,

For **Srei Equipment Finance Limited**

Ritu Bhojak
Company Secretary
FCS 8532

Encl.: as above

Srei Equipment Finance Limited
CIN U70101WB2006PLC109898

Head Office: Y-10, Block - EP, Sector - V, Saltlake City, Kolkata - 700 091

Tel: +91 33 6639 4700 **Fax:** +91 33 6602 2600

Email: sefpl@srei.com **Website:** www.srei.com

Registered Office: 'Vishwakarma', 86C Topsia Road (South), Kolkata - 700 046

KFPL/SEFL/RIS/IGR/MAR/2021
Date: April 07, 2021

Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City,
Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited
Sub: Investor Grievance for the Period from 01.01.2021 – 31.03.2021 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended March 31, 2021.

Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	03
Received During the Quarter	448
Resolved Queries during the Quarter	445
Unresolved Queries at the end of the Quarter	06

Break-up of Investors' Grievances received during the quarter 31.03.2021:

Non Receipt of Interest Warrant	:	371
Non Receipt of Redemption Warrant	:	46
Non Receipt of Refund/Int. On Application	:	02
Non Receipt of Certificate	:	01
Allotment Related	:	02
Interest Statement	:	01
Srei First Account Related	:	01
Wrong Credit	:	01
Revalidation	:	02
Transmission	:	01
Miscellaneous	:	10
Scores/BSE Complaints	:	10
Total	:	448

Thanking you,

Yours Faithfully
For KFin Technologies Pvt. Ltd.



Chandra Shekhar C
Deputy Manager

KFin Technologies Private Limited
Registered & Corporate Office

"Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.

Ph. : +91 40 6716 2222, 3321 1000 | www.kfintech.com | CIN : U72400TG2017PTC117649

KCPL/SEFL/QTR/MAR-21

The Company Secretary
Srei Equipment Finance Limited
Kolkata

Dear Sir / Madam,

Status of complaints from Equity holders for the period January 1, 2021 to March 31, 2021

Particulars	No. of Complaints
Complaints pending as on January 1, 2021	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on March 31, 2021	Nil

For KFin Technologies Private Limited



Hanumantha Rao Patri
Senior Manager

Place: Hyderabad
Date: 06-04-2021

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649



S K INFOSOLUTIONS PVT LIMITED

CIN NO: U72300WB1999PTC090120

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)
D/42 KATJU NAGAR, GROUND FLOOR, (Near: South City Mall) JADAVPUR, KOLKATA

PIN-700032

Telephone No: 033-24120027 & 033-24120029 Fax No 033-24120027

Email id : contact@skcinfo.com and skcdilip@gmail.com

Website: www.skcinfo.com

Ref : SKC/srei/STATUS_NCD/-01-01-2021 TO 31-03-2021.

Date : 31.03.2021

M/S SREI EQUIPMENT FINANCE LIMITED
PLOT NO-Y-10,BLOCK-EP,SECTOR-V.SALT LAKE CITY
KOLKATA
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the quarter
ended 31.03.2021. (NCD)

1. No. of investor complaints pending at the beginning of the quarter = N-I-1
2. No of investor complaints received during the quarter (01-01-2021 TO 31-03-2021) = NIL
3. No.of investor complaints disposed off during the quarter (01-01-2021 TO 31-03-2021) = NIL
4. No.of investor complaints lying unresolved at the end of the quarter = N.A

Thanking you,

For S K Infosolutions Pvt Ltd

D Bhattacharya

Director

