



SEFL\SECT\MS\20-21\40

January 12, 2021

**BSE Limited**

Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai – 400 001  
Fax: 022-2272 2037/2039/2041/3121

**National Stock Exchange of India Limited**

Exchange Plaza, 5th Floor  
Plot No. C/1, G Block, Bandra Kurla  
Complex, Bandra (East),  
Mumbai – 400 051  
Fax: 022-2659 8237/38; 2659 8347/48

Dear Sirs,

**Sub: Investor Complaints – Quarter ended on 31st December, 2020**

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 31st December, 2020.

This is for your information and record.

Thanking you,

Yours faithfully,

**For Srei Equipment Finance Limited**

**Ritu Bhojak**  
**Company Secretary**  
**FCS 8532**

Encl.: as above

Srei Equipment Finance Limited  
CIN U70101WB2006PLC109898

**Head Office:** Y-10, Block - EP, Sector - V, Saltlake City, Kolkata - 700 091

**Tel:** +91 33 6639 4700 **Fax:** +91 33 6602 2600

**Email:** [sefpl@srei.com](mailto:sefpl@srei.com) **Website:** [www.srei.com](http://www.srei.com)

**Registered Office:** 'Vishwakarma', 86C Topsia Road (South), Kolkata - 700 046

KFPL/SEFL/RIS/IGR/DEC/2020  
Date: Jan 06, 2021

Srei Equipment Finance Limited  
Y-10, Block EP, Salt Lake City,  
Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited  
Sub: Investor Grievance for the Period from 01.10.2020 – 31.12.2020 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended December 31, 2020.

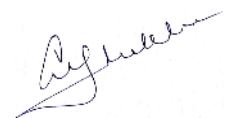
Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	06
Received During the Quarter	513
Resolved Queries during the Quarter	516
Unresolved Queries at the end of the Quarter	03

**Break-up of Investors' Grievances received during the quarter 31.12.2020:**

Non Receipt of Interest Warrant	:	417	
Non Receipt of Redemption Warrant	:	52	
Non Receipt of Refund Order	:	04	
Non Receipt of Securities	:	23	
Non Receipt of Annual Report	:	01	
Non Receipt of Refund/Int. On Application	:	05	
Non Receipt of Certificate(s)	:	02	
Status of Applications Lodged For Public Issue(S)	:	01	:
Allotment Related	:	04	
Coupon Rate	:	01	
Incorrect Tds	:	01	
Srei First Account Related	:	02	
Score Complaints	:	00	
<b>Total</b>	:	<b>513</b>	

Thanking you,

Yours Faithfully  
For KFin Technologies Pvt. Ltd.



Chandra Shekhar C  
Deputy Manager

**KFin Technologies Private Limited**  
**Registered & Corporate Office**

"Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.

Ph. : +91 40 6716 2222, 3321 1000 | www.kfintech.com | CIN : U72400TG2017PTC117649

KCPL/SEFL/QTR/DEC-20

The Company Secretary  
Srei Equipment Finance Limited  
Kolkata

Dear Sir / Madam,

Status of complaints from Equity holders for the period October 1, 2020 to December 31, 2020

Particulars	No. of Complaints
Complaints pending as on October 1, 2020	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on December 31, 2020	Nil

For KFin Technologies Private Limited



Hanumantha Rao Patri  
Senior Manager

Place: Hyderabad  
Date: 08-01-2021

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649



## S.K. INFOSOLUTIONS PVT. LTD.

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)

34/1A Sudhir Chatterjee Street, Kolkata- 700 006

Telephones - (33) 2219-6797 Fax - (33) 2219-4815

Email: contact@skcinfo.com, skcdilip@gmail.com

Website: www.skcinfo.com

Ref :SKC/SE/STATUS-RPT/01-10-2020 TO 31-12-2020

Date : 31-12-2020

M/S SREI EQUIPMENT FINANCE LIMITED  
PLOT NO-Y-10,BLOCK-EP,  
SECTOR-V.SALTLAKE CITY  
KOLKATA  
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the period 01-10-2020 To 31-12-2020

1. No. of investor complaints pending at the beginning of the quarter = NIL
2. No of investor complaints received during the period (01-10-2020 To 31-12-2020) = 0
3. No. of investor complaints disposed off during the period (01-10-2020 To 31-12-2020) = 0
4. No. of investor complaints lying unresolved at the end of the period. = NIL

Thanking you,

Yours faithfully  
For S K Infosolutions Pvt Ltd

Authorised Signatory

