



**SEFL/SECT/MS/20-21/26**

October 27, 2020

The Secretary

**BSE Limited**

Phiroze Jeejeebhoy Towers

Dalal Street, Mumbai - 400 001

Fax: 022-2272 2037/2039/2041/3121

**National Stock Exchange of India Limited**

Exchange Plaza, 5th Floor, Plot no. C/1, G Block

Bandra-Kurla Complex, Bandra (E)

Mumbai - 400 051

Fax: 022-2659 8237/38; 2659 8347/48

Dear Sir,

**Ref: Regulation 61(4) read with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Sub: Certificate for the Half Year ended on September 30, 2020**

Pursuant to Regulation 61(4) read with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the certificate dated October 21, 2020 furnished by a Practising Company Secretary for the Half Year ended on September 30, 2020.

Thanking you.

Yours faithfully,

For **Srei Equipment Finance Limited**

**Ritu Bhojak**

**Company Secretary**

**FCS 8532**

Encl: as above

**Srei Equipment Finance Limited**

CIN U70101WB2006PLC109898

**Head Office:** Y-10, Block - EP, Sector - V, Saltlake City, Kolkata - 700 091

**Tel:** +91 33 6639 4700 **Fax:** +91 33 6602 2600

**Email:** [sefpl@srei.com](mailto:sefpl@srei.com) **Website:** [www.srei.com](http://www.srei.com)

**Registered Office:** 'Vishwakarma', 86C Topsia Road (South), Kolkata - 700 046

**CERTIFICATE**

**CERTIFICATE UNDER REGULATION 61(4) READ WITH 40(9) OF THE SECURITIES  
AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

Based on the information and details provided by **Srei Equipment Finance Limited** ("**Company**") having its Registered Office at Vishwakarma, 86C, Topsia Road (S), Kolkata-700046 and Registrar and Share Transfer Agents of the Company being KFin Technologies Private Limited of Selenium Building, Tower-B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad-500032 and S. K. Infosolutions Pvt. Ltd. of 34/1A, Sudhir Chatterjee Road, Kolkata-700 006 ("**RTAs**"), pertaining to transfer of Debentures of the Company for the period from April 01, 2020 to September 30, 2020 for the purpose of issuing a Certificate in terms of Regulation 61(4) read with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") I hereby certify that:-

- a. the Company/the RTA has not received any request for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies, as may be applicable, of Debentures issued by way of public issue, during the period April 01, 2020 to September 30, 2020 and hence delivery of certificates within 30 (thirty) days relating to the transfer etc. during the said half year does not arise;
- b. since all the Debentures of the Company, issued by way of private placement, are in dematerialised form, no physical debenture certificates were required to be delivered during the period from April 01, 2020 to September 30, 2020.

**For Manisha Saraf & Associates  
Practising Company Secretary**

*Manisha Saraf*

**Manisha Saraf  
(Proprietor)**

**Membership No: F7607**

**Certificate of Practice No: 8207**

**UDIN: F007607B001023050**

**FRN: S2019WB666200**

**Date: 21<sup>st</sup> day of October, 2020**

**Place: Kolkata**