



SEFL\SECT\MS\20-21\24
October 20, 2020

BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai – 400 001
Fax: 022-2272 2037/2039/2041/3121

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai – 400 051
Fax: 022-2659 8237/38; 2659 8347/48

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 30th September, 2020

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 30th September, 2020.

This is for your information and record.

Thanking you,

Yours faithfully,
For **Srei Equipment Finance Limited**

Ritu Bhojak
Company Secretary
FCS 8532

Encl.: as above

Srei Equipment Finance Limited
CIN U70101WB2006PLC109898

Head Office: Y-10, Block - EP, Sector - V, Saltlake City, Kolkata - 700 091

Tel: +91 33 6639 4700 **Fax:** +91 33 6602 2600

Email: sefpl@srei.com **Website:** www.srei.com

Registered Office: 'Vishwakarma', 86C Topsia Road (South), Kolkata - 700 046

KFPL/SEFL/RIS/IGR/SEP/2020
Date: October 08, 2020

Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City,
Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited
Sub: Investor Grievance for the Period from 01.07.2020 – 30.09.2020 for Debentures/ Bonds

Pursuant to Regulation 13(3) of SEBI Listing obligations and Disclosure Requirements (LODR) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended September 30, 2020.

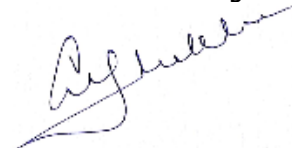
Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	06
Received During the Quarter	769
Resolved Queries during the Quarter	769
Unresolved Queries at the end of the Quarter	06

Break-up of Investors' Grievances received during the quarter 30.09.2020:

Non Receipt of Interest Warrant	:	573
Non Receipt of Redemption Warrant	:	145
Non receipt of additional interest	:	16
Non Receipt of Refund Order	:	01
Non receipt of refund/int. On application	:	07
Non Receipt of Securities	:	22
Non Receipt of tax deduction certificates (TDS)	:	02
Wrong Credit	:	02
Status of applications lodged for public issue(s)	:	01
Score Complaints	:	00
Total	:	769

Thanking you,

Yours Faithfully
For KFin Technologies Pvt. Ltd.



Chandra Shekhar C
Deputy Manager

KFin Technologies Private Limited
Registered & Corporate Office

"Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032,
Telangana.

Ph. : +91 40 6716 2222, 3321 1000 | www.kfintech.com | CIN : U72400TG2017PTC117649



S. K. INFOSOLUTIONS PVT. LTD.

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)

34/1A Sudhir Chatterjee Street, Kolkata - 700 006

Telephones - (33) 2219-6797 Fax - (33) 2219-4815

Email: mail@skcomputers.net Website: www.skcomputers.net

Ref : SKC/srei/STATUS_NCD/-01-07-2020 TO 30-09-2020.

Date : 30.09.2020

M/S SREI EQUIPMENT FINANCE LIMITED
PLOT NO-Y-10,BLOCK-EP,SECTOR-V.SALT LAKE CITY
KOLKATA
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the quarter
ended 30.09.2020. (NCD)

1. No. of investor complaints pending at the beginning of the quarter = N-I-1
2. No of investor complaints received during the quarter (01-07-2020 TO 30-09-2020) = NIL
3. No.of investor complaints disposed off during the quarter (01-07-2020 TO 30-09-2020) = NIL
4. No.of investor complaints lying unresolved at the end of the quarter = N.A

Thanking you,

For S K Infosolutions Pvt Ltd

D Bhattacharya

Director



KCPL/SEFL/QTR/SEP-20

The Company Secretary
Srei Equipment Finance Limited
Kolkata

Dear Sir / Madam,

Status of complaints from Equity holders for the period July 1, 2020 to September 30, 2020

Particulars	No. of Complaints
Complaints pending as on July 1, 2020	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on September 30, 2020	Nil

For KFin Technologies Private Limited



Hanumantha Rao Patri
Senior Manager

Place: Hyderabad
Date: 10-10-2020

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649