

SEFL/SECT/MS/20-21/23 October 08, 2020

The Secretary **BSE Limited** Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001 Fax: 022-2272 2037/2039/2041/3121 The Secretary National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot no. C/1 G Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Fax: 022-2659 8237/38; 2659 8347/48

Dear Sir,

<u>Ref: Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements)</u> <u>Regulations, 2015</u>

Sub: Compliance Certificate for the Half Year Ended on September 30, 2020

Please note that in accordance with Regulation 7(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, all activities in relation to Share Transfer facility pertaining to the Company are maintained by KFin Technologies Private Limited, the Registrar and Share Transfer Agents of the Company.

In this regard, please find enclosed herewith the compliance certificate, in accordance with Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, duly signed by both the Compliance Officer of the Company and the Authorized Representative of the Registrar and Share Transfer Agents, certifying compliance with the requirements of Regulation 7(2) for the half year ended on 30th September, 2020.

This is for your information and record.

Thanking you.

Yours faithfully, For **Srei Equipment Finance Limited**

Ritu Bhojak Digitally signed by Ritu Bhojak Date: 2020.10.08 14:39:24 +05'30'

Ritu Bhojak Company Secretary FCS 8532

Encl.: as above

Srei Equipment Finance Limited CIN U70101WB2006PLC109898 Head Office: Y-10, Block - EP, Sector - V, Saltlake City, Kolkata - 700 091 Tel: +91 33 6639 4700 Fax: +91 33 6602 2600 Email: <u>sefpl@srei.com</u>Website: <u>www.srei.com</u> Registered Office: 'Vishwakarma', 86C Topsia Road (South), Kolkata - 700 046



October 06, 2020

The Secretary, National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G Block Bandra Kurla Complex, Bandra (East), Mumbai – 400 051 Fax : 022 – 2659 8237/38, 66418125/26 Email:debtlist.nse.co.in

Dear Sir,

Subject : Compliance Certificate for the half year ended 30.09.2020 Ref : Regulation 7(3) of the SEBI (LODR) Regulations, 2015

This is to certify that the activities in relation to Shares/Debenture Transfer facility are maintained by M/s. KFIN Technologies Private Limited (Registered with SEBI VIDE Registration no. INR000000221), the Registrars and Transfer Agents of SREI EQUIPMENT FINANCE LIMITED.

Accordingly, the compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

For KFIN Technologies Pvt. Ltd.

NAGESH. GOVU General Manager

For Srei Equipment Finance Limited

Digitally signed by Ritu Bhojak Ritu Bhojak Date: 2020.10.08 14:41:26 +05'30'

Ritu Bhojak Company Secretary FCS 8532

Cc: The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai – 400 001 Fax : 914-22-2272 3121, 022 – 2272 2037/39/41 Email : corp.relations@bseindia.com

KFin Technologies Private Limited

Registered & Corporate Office "Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.

Ph. : +91 40 6716 2222, 3321 1000 | www.kfintech.com | CIN : U72400TG2017PTC117649