

SIFL/SECT/SP/19-20/125 October 19, 2019

The Secretary **BSE Limited** 

Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001 Fax: 022-2272 2037/2039/2041/3121

BSE Scrip Code: 523756

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor, Plot no. C/1, G Block Bandra-Kurla Complex, Bandra (E)

Mumbai - 400 051

Fax: 022-2659 8237/38; 2659 8347/48

**NSE Symbol: SREINFRA** 

Dear Sir,

## Sub: Investor Complaints - Quarter ended on 30th September, 2019

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 30th September, 2019.

Kolkata

This is for your information and record.

Thanking you.

Yours faithfully,

For Srei Infrastructure Finance Limited

deep Ko. lekholi

Sandeep Lakhotia Company Secretary

FCS 7671

Encl.: as above

Website: www.srei.com Email: corporate@srei.com



KFPL/SREI/IGRPT/RIS October 3, 2019

Srei Infrastructure Finance Limited "Vishwakarma", 86C, Topsia Road (South) Kolkata - 700046

Kind Attn: Mr. Sandeep Lakhotia, Company Secretary

Dear Sir,

Unit: Srei Infrastructure Finance Limited

Sub: Investor Grievance as on 30.09.2019 for Equity shares

Pursuant to Regulations 13(3) of SEBI (Listing obligations and Disclosure Requirement) Regulation, 2015 we are hereunder providing the Status of Investor Complaints with respect to the equity shares for the Quarter ended September 30, 2019.

Description	Total
Number of investor complaints pending at the beginning of the quarter	NIL
Received during the Quarter	42
Disposed of during the Quarter	42
Unresolved at the end of the Quarter	NłL

## Break-up of Investors Grievances received during the Quarter 01.07.2019 to 30.09.2019.

Dividend		24
Share Certificates	4	2
Annual Report		16
Electronic Credit	-:	0
SCORES		0
Total		42

Thanking You,

Yours faithfully,

For KARVY FINTECH PVT LTD

S V Raju

Deputy General Manager



## KFPL/SIFL/RIS/IGR/SEP/2019

Date: Oct 14, 2019

Srei Infrastructure Finance Limited Vishwakarma, 86C Topsia Road, Kolkata - 700046

Kind Attn: Mr.Sandeep Lakhotia, Company Secretary

Dear Sir,

Unit: Srei Infrastructure Finance Limited

Sub: Investor Grievance for the Period from 01.07.2019 to 30.09.2019 for Debentures/ Bonds

Pursuant to regulation 13(3) of SEBI Listing obligations and Disclosure Requirement (LODR) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the guarter ended September 30, 2019.

Description	
Number of Investor Complaints Pending at the beginning of the Quarter	02
Received During the Quarter	145
Resolved Queries during the Quarter	137
Unresolved Queries at the end of the Quarter	10

## Break-up of Investors' Grievances received during the quarter 30.09.2019:

Non Receipt of Interest Warrant		119
Non Receipt of Redemption Warrant	3	06
Non Receipt of Electronic Credits	2	01
Non Receipt of Securities	:	11
Non Receipt of Tax deduction certificates (TDS)	:	03
Status of Applications Lodged For Public Issue(S)	:	05
Score Complaints		00
Total	1	145

Thanking you,

Yours Faithfully

For Karvy Fintech Pvt.Ltd.

Chandra Shekhar C Deputy Manager

Karvy Fintech Private Limited (KFPL)

(Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

\*Karry Selenium Tower-B\*, Plot Nol.31 & 32, Gachibowii, Financial District, Nanakramguda, Serilingampalik, Pryderabad - 500532, Talangana. Ph : +91 40 716 2222, 3321 1000 | www.karryfintech.fom | CIN : U72400TG2017FTC117649