

SEFL\SECT\MS\18-19\22 October 9, 2018

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East) Mumbai – 400 051 **BSE** Limited

Corporate Relationship Department Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai – 400 001

Dear Sir,

Ref: Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Sub: Compliance Certificate for the Half Year ended on September 30, 2018

Please note that in accordance with Regulation 7(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, all activities in relation to both physical and electronic share transfer facility pertaining to the Company are maintained by Karvy Computershare Private Limited the Registrar and Share Transfer Agents of the Company.

In this regard, please find enclosed herewith the compliance certificate, in accordance with Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, duly signed by both the Compliance Officer of the Company and the Authorized Representatives of the Registrar and Share Transfer Agents, certifying compliance with the requirements of Regulation 7(2) for the half year ended on 30th September, 2018.

This is for your information and record.

Thanking you,

Yours faithfully, For Srei Equipment Finance Limited

Ritu Bhojak Company Secretary FCS 8532

Encl.: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

Head Office: Plot No. Y-10, Block EP, Sector-V, Salt Lake City, Kolkata-700091
Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)
Email: sefpl@srei.com Website: www.sreiequipment.com
Registered Office: 'Vishwakarma' 86C, Topsia Road (South), Kolkata-700046



October 08, 2018

The Secretary,
National Stock Exchange of India Ltd.,
Exchange Palza, 5th Floor,
Plot No. C/1, G Block
Bandra Kurla Complex,
Bandra (East), Mumbai – 400 051
Fax: 022 – 2659 8237/38, 66418125/26
Email:debtlist.nse.co.in

Dear Sir,

Subject: Compliance Certificate for the Quarter ended 30.09.2018 Ref: Regulation 7(3) of the SEBI (LODR) Regulations, 2015

This is to certify that the activities in relation to physical and Electronic Debenture Transfer facility are maintained by M/s. Karvy Computershare Private Limited (Registered with SEBI VIDE Registration no. INR000000221), the Registrars and Transfer Agents of SREI EQUIPMENT FINANCE LIMITED.

Accordingly, the compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

For Karvy Computershare Pvt. Ltd.

K V S Gopala Krishna Asst. General Manager For Srei Equipment Finance Limited

Ritu Bhojak Company Secretary FCS 8532

Cc:

The Secretary BSE Limited

Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001

Fax: 914-22-2272 3121, 022 - 2272 2037/39/41

Email: corp.relations@bseindia.com



Karvy Computershare Private Limited

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Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000. F: +91-40-23420814, e-mail: support@karvy.com

Registered Office, Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderahad - 500 034
T +91-40-2331,2454/2332-0751/752/251 | F +91-40-2331-1968 | www.karvy.com | www.karvy.computershare.com | CIN: U72400TG2003PTC041636