



SEFL\SECT\MS\18-19\36

January 14, 2019

**National Stock Exchange of India
Limited**
Exchange Plaza, 5th Floor
Plot No. C/1, G Block, Bandra Kurla
Complex, Bandra (East)
Mumbai – 400 051

BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai – 400 001

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 31st December, 2018

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 31st December, 2018.

This is for your information and record.

Thanking you,

Yours faithfully,
For Srei Equipment Finance Limited

Ritu Bhojak
Company Secretary
FCS 8532

Encl.: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

Head Office : Plot No. Y-10, Block EP, Sector-V, Salt Lake City, Kolkata-700091
Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)
Email: sefpl@srei.com Website: www.sreiequipment.com
Registered Office : 'Vishwakarma' 86C, Topsia Road (South), Kolkata-700046

KCPL/SIFL/RIS/IGR/DEC/2018

Date: January 05, 2019

Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City,
Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited
Sub: Investor Grievances for the Period from 01.10.2018 to 31.12.2018 for Debentures/ Bonds

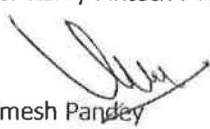
Pursuant to Regulation 13(3) of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended December 31, 2018.

Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	41
Received During the Quarter	122
Resolved Queries during the Quarter	159
Unresolved Queries at the end of the Quarter	04

Break-up of Investors' Grievances received during the quarter 31.12.2018:

Non Receipt of Interest Warrant	:	95
Non Receipt of Refund Order	:	02
Non Receipt of Securities	:	23
Status of Applications Lodged For Public Issue(S)	:	0
Score Complaints	:	02
Total	:	122

Thanking you,

Yours Faithfully
For Karvy Fintech Pvt. Ltd.
Umesh Pandey
Manager**Karvy Fintech Private Limited (KFPL)**
(Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana,
Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U67200TG2017PTC117649



S. K. INFOSOLUTIONS PVT. LTD.

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)

34/1A Sudhir Chatterjee Street, Kolkata - 700 006

Telephones - (33) 2219-6797 Fax - (33) 2219-4815

Email: mail@skcomputers.net Website: www.skcomputers.net

Ref : SKC/srei/STATUS_NCD/-01-10-2018 TO 31-12-2018.

Date : 31.12.2018

M/S SREI EQUIPMENT FINANCE LIMITED
PLOT NO-Y-10,BLOCK-EP,SECTOR-V.SALT LAKE CITY
KOLKATA
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the quarter
ended 31.12.2018. (NCD)

1. No. of investor complaints pending at the beginning of the quarter = N-I-1
2. No of investor complaints received during the quarter (01-10-2018 TO 31-12-2018) = NIL
3. No.of investor complaints disposed off during the quarter (01-10-2018 TO 31-12-2018) = NIL
4. No.of investor complaints lying unresolved at the end of the quarter = N.A

Thanking you,

For S K Infosolutions Pvt Ltd

Bhattacharya

Director



To Whomsoever It May Concern

KFPL /SEFL/QTR/JAN-19

The Company Secretary
Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City
Sector – V, Kolkata – 700 091.

Dear Sir,

Status of Complaints from Equity holders for the Period OCTOBER 1, 2018 to DECEMBER 31, 2018

Particulars	No of Complaints
Complaints Pending as on OCTOBER 1,2018	Nil
Complaints Received during the Period	Nil
Complaints resolved during the Period	Nil
Complaints Pending as on DECEMBER 31,2018	Nil

For Karvy Fintech Private Limited

V.V. Ramachandra
Ramachandra v v
Manager



Place : Hyderabad

Date : 10-01-2019

Karvy Fintech Private Limited (KFPL)
(Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.
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