

SEFL\SECT\MS\19-20\44 October 15, 2019

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East) Mumbai – 400 051

Fax: 022-2659 8237/38; 2659 8347/48

BSE Limited

Corporate Relationship Department Phiroze Jeejeebhoy Towers Dalal Street, Mumbai – 400 001 Fax: 022-2272 2037/2039/2041/3121

Dear Sir,

Ref: Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015

Subject: Compliance Certificate for the Half Year Ended on September 30, 2019

Please note that in accordance with Regulation 7(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, all activities in relation to share transfer facility pertaining to the Company are maintained by Karvy Fintech Private Limited, the Registrar and Share Transfer Agents of the Company.

In this regard, please find enclosed herewith the compliance certificate, in accordance with Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, duly signed by both the Compliance Officer of the Company and the Authorized Representatives of the Registrar and Share Transfer Agents, certifying compliance with the requirements of Regulation 7(2) for the half year ended on 30th September, 2019.

This is for your information and record.

Thanking you,

Yours faithfully, For **Srei Equipment Finance Limited**

Ritu Bhojak Company Secretary FCS 8532

Encl.: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

Head Office: Plot No. Y-10, Block EP, Sector-V, Salt Lake City, Kolkata-700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefpl@srei.com Website: www.sreiequipment.com

Registered Office: 'Vishwakarma' 86C, Topsia Road (South), Kolkata-700046



October 15, 2019

The Secretary,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block
Bandra Kurla Complex,
Bandra (East), Mumbai – 400 051
Fax: 022 – 2659 8237/38, 66418125/26
Email:debtlist.nse.co.in

Dear Sir,

Subject : Compliance Certificate for the half year ended 30.09.2019 Ref : Regulation 7(3) of the SEBI (LODR) Regulations, 2015

This is to certify that the activities in relation to Shares/Debenture Transfer facility are maintained by M/s. Karvy Fintech Private Limited (Registered with SEBI VIDE Registration no. INR000000221), the Registrars and Transfer Agents of SREI EQUIPMENT FINANCE LIMITED.

Accordingly, the compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

For Karvy Fintech Pvt. Ltd.

NAGESH, GOVU General Manager TECH ON WATER AND THE LAND OF THE LAND OF

For Srei Equipment Finance Limited

Ritu Bhojak Company Secretary FCS 8532

Cc:

The Secretary BSE Limited

Phiroze Jeejeebhoy Towers Dalal Street, Mumbai – 400 001

Fax: 914-22-2272 3121, 022 - 2272 2037/39/41

Email: corp.relations@bseindia.com

Karvy Fintech Private Limited (KFPL) (Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

"Karvy Selenium Tower-II", Plot No. 31 & 32, Gachibowli, Finadcial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U72400TG2017PTC117649