



SEFL\SECT\MS\19-20\45

October 16, 2019

**BSE Limited**

Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai – 400 001  
Fax: 022-2272 2037/2039/2041/3121

**National Stock Exchange of India Limited**

Exchange Plaza, 5th Floor  
Plot No. C/1, G Block, Bandra Kurla  
Complex, Bandra (East),  
Mumbai – 400 051  
Fax: 022-2659 8237/38; 2659 8347/48

Dear Sirs,

**Sub: Investor Complaints – Quarter ended on 30th September, 2019**

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 30th September, 2019.

This is for your information and record.

Thanking you,

Yours faithfully,

For **Srei Equipment Finance Limited**

**Ritu Bhojak**  
**Company Secretary**  
**FCS 8532**

Encl.: as above

**Srei Equipment Finance Limited**

CIN: U70101WB2006PLC109898

Head Office : Plot No. Y-10, Block EP, Sector-V, Salt Lake City, Kolkata-700091  
Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)  
Email: sefpl@srei.com Website: www.sreiequipment.com  
Registered Office : 'Vishwakarma' 86C, Topsia Road (South), Kolkata-700046

KFPL/SEFL/RIS/IGR/SEP/2019

Date: Oct 14, 2019

Srei Equipment Finance Limited  
Y-10, Block EP, Salt Lake City,  
Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited  
Sub: Investor Grievance for the Period from 01.07.2019 to 30.09.2019 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015.  
We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended September 30, 2019.

Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	04
Received During the Quarter	111
Resolved Queries during the Quarter	111
Unresolved Queries at the end of the Quarter	04

**Break-up of Investors' Grievances received during the quarter 30.09.2019:**

Non Receipt of Interest Warrant	:	73
Non Receipt of Electronic credit	:	04
Non Receipt of Redemption Warrant	:	17
Non Receipt of Securities	:	13
Non Receipt of Annual Report	:	01
Status of Applications Lodged For Public Issue(S)	:	02
Score Complaints	:	01
<b>Total</b>	:	<b>111</b>

Thanking you,

Yours Faithfully  
For Karvy Fintech Pvt. Ltd.

Chandra Shekhar C  
Deputy Manager



**Karvy Fintech Private Limited (KFPL)**  
(Formerly known as KCPL Advisory Services Private Limited)

**Registered & Corporate Office:**

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.  
Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U72400TG2017PTC117649



## S. K. INFOSOLUTIONS PVT. LTD.

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)

34/1A Sudhir Chatterjee Street, Kolkata - 700 006

Telephones - (33) 2219-6797 Fax - (33) 2219-4815

Email: mail@skcomputers.net Website: www.skcomputers.net

Ref : SKC/srei/STATUS\_NCD/-01-07-2019 TO 30-09-2019.

Date : 30.09.2019

M/S SREI EQUIPMENT FINANCE LIMITED  
PLOT NO-Y-10,BLOCK-EP,SECTOR-V.SALT LAKE CITY  
KOLKATA  
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the quarter  
ended 30.09.2019. (NCD)

1. No. of investor complaints pending at the beginning of the quarter = N-I-1
2. No. of investor complaints received during the quarter (01-07-2019 TO 30-09-2019) = NIL
3. No. of investor complaints disposed off during the quarter (01-07-2019 TO 30-09-2019) = NIL
4. No. of investor complaints lying unresolved at the end of the quarter = N.A

Thanking you,

For S K Infosolutions Pvt Ltd

D. Bhattacharya

Director



KFPL/SEFL/QTR/SEP-19

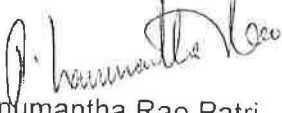
The Company Secretary  
SREI EQUIPMENT FINANCE LIMITED  
Kolkata

Dear Sir,

Status of complaints from Equity holders for the period JUL 1, 2019 to SEP 30, 2019

Particulars	No. of Complaints
Complaints pending as on JUL 1, 2019	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on SEP 30, 2019	Nil

For Karvy Fintech Private Limited

  
Hanumantha Rao Patri  
Senior Manager



Place: Hyderabad  
Date: 14-10-2019

**Karvy Fintech Private Limited (KFPL)**  
(Formerly known as KCPL Advisory Services Private Limited)

**Registered & Corporate Office**

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.  
Ph : +91 40 6716 2222, 3321 1000 | [www.karvyfintech.com](http://www.karvyfintech.com) | CIN : U67200TG2017PTC117649