

MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : H07277163

Service Request Date : 05/09/2018

Payment made into : HDFC Bank

Received From :

Name : MR AND ASSOCIATES

Address : 46, B B GANGULY STREET, 4TH FLOOR

ROOM NO.6

KOLKATA, West Bengal

India - 700012

Entity on whose behalf money is paid

CIN: L29219WB1985PLC055352

Name : SREI INFRASTRUCTURE FINANCE LIMITED

Address : VISHWAKARMA,86C,TOPSIA ROAD (SOUTH),

KOLKATA, West Bengal

India - 700046

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2018	Normal	600.00
Total		600.00

Mode of Payment: Internet Banking - HDFC Bank

Received Payment Rupees: Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L29219WB1985PLC055352

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACS1425L

(ii) (a) Name of the company

SREI INFRASTRUCTURE FINANCIAL

(b) Registered office address

VISHWAKARMA,86C,TOPSIA ROAD (SOUTH),
KOLKATA
West Bengal
700046
India

(c) *e-mail ID of the company

secretarial@srei.com

(d) *Telephone number with STD code

03361607734

(e) Website

www.srei.com

(iii) Date of Incorporation

29/03/1985

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes ☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LTD., NSE LTD., CSE LTD.	1,028

(b) CIN of the Registrar and Transfer Agent

U72400TG2003PTC041636

Pre-fill

Name of the Registrar and Transfer Agent

KARVY COMPUTERSHARE PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

46, AVENUE, 4TH STREET, NO.1, BANJARA HILLS,
HYDERABAD.

(vii) *Financial year From date 01/04/2017 (DD/MM/YYYY) To date 31/03/2018 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 21/07/2018

(b) Due date of AGM 30/09/2018

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	99.7

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 18

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ADISRI COMMERCIAL PRIVATE	U67190WB2014PTC199720	Holding	60.36
2	SREI CAPITAL MARKETS LIMITE	U67190WB1998PLC087155	Subsidiary	100

3	SREI ALTERNATIVE INVESTMEN	U65999WB1994PLC065722	Subsidiary	100
4	HYDERABAD INFORMATION TE	U72200TG1998PLC029282	Subsidiary	51
5	CYBERABAD TRUSTEE COMPAN	U72200TG1999PTC033128	Subsidiary	51
6	BENGAL SREI INFRASTRUCTUR	U70109WB2004PLC100517	Subsidiary	51
7	CONTROLLA ELECTROTECH PR	U29303WB1991PTC052455	Subsidiary	100
8	SREI MUTUAL FUND ASSET MA	U65990WB2009PTC139801	Subsidiary	100
9	SREI MUTUAL FUND TRUST PRI	U65990WB2009PTC139790	Subsidiary	100
10	SREI INSURANCE BROKING PRI	U67120WB2002PTC095019	Subsidiary	100
11	QUIPPO OIL AND GAS INFRAST	U11120WB2005PLC218282	Subsidiary	100
12	QUIPPO DRILLING INTERNATIC	U11100WB2015PTC218280	Subsidiary	100
13	QUIPPO ENERGY LIMITED	U70109WB2007PLC218320	Subsidiary	100
14	SREI ASSET RECONSTRUCTION	U65999WB2014PTC202301	Subsidiary	100
15	SREI EQUIPMENT FINANCE LIM	U70101WB2006PLC109898	Subsidiary	100
16	SAHAJ E-VILLAGE LIMITED	U67190WB2002PLC095455	Associate	49.47
17	ATTIVO ECONOMIC ZONE (MU	U45400WB2008PTC224115	Associate	48.78
18	IIS INTERNATIONAL INFRASTRU		Associate	49.13

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,000,000,000	503,559,160	503,559,160	503,086,333
Total amount of equity shares (in Rupees)	10,000,000,000	5,035,591,600	5,035,591,600	5,030,863,330

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10/- each				

Number of equity shares	1,000,000,000	503,559,160	503,559,160	503,086,333
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	10,000,000,000	5,035,591,600	5,035,591,600	5,030,863,330

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	50,000,000	0	0	0
Total amount of preference shares (in rupees)	5,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares of Rs. 100/- each				
Number of preference shares	50,000,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	5,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	503,086,333	5,030,863,330	5,030,863,330	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0

vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
NA				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
NA				
At the end of the year	503,086,333	5,030,863,330	5,030,863,330	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
NA				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
NA				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☒ No

☐ Not Applicable

Separate sheet attached for details of transfers

☒ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		22/07/2017	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	17,620,967	1000,100000,1000000	29,628,200,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			99,924,341,161.24
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			12,327,281,748.31
Deposit			133,191.35
Total			141,879,956,100.9

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	31,352,334,000	3,367,802,000	5,091,936,000	29,628,200,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

1

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
COMMERCIAL PAPER	16,382	500000	8,191,000,000	500000	8,191,000,000
Total	16,382		8,191,000,000		8,191,000,000

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

17,683,071,745

(ii) Net worth of the Company

29,226,628,795

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,182,714	0.43	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	303,685,845	60.36	0	
10.	Others	0	0	0	
	Total	305,868,559	60.79	0	0

Total number of shareholders (promoters)

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(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	51,286,886	10.19	0	
	(ii) Non-resident Indian (NRI)	6,872,470	1.37	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	22,697	0	0	
5.	Financial institutions	324,526	0.06	0	
6.	Foreign institutional investors	63,825,559	12.69	0	
7.	Mutual funds	32,278,647	6.42	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	41,827,669	8.31	0	
10.	Others CLEARING MEMBERS, TRUSTS	779,320	0.15	0	
	Total	197,217,774	39.19	0	0

Total number of shareholders (other than promoters) 66,975

**Total number of shareholders (Promoters+Public/
Other than promoters)** 66,979

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
FIDELITY INVESTMENT	CITIBANK N.A. CUSTODY SERVICES		IN	34,355,610	6.83
VANGUARD TOTAL IN	JPMORGAN CHASE BANK N.A. IND		IN	2,934,826	0.58
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES		IN	1,890,601	0.38
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES		IN	1,729,503	0.34
MV SCIF MAURITIUS	DEUTSCHE BANK AG, DB HOUSE H		IN	1,477,261	0.29
MACKENZIE CUNDILL	DEUTSCHE BANK AG, DB HOUSE, H		IN	1,350,000	0.27
SOCIETE GENERALE	SBI SG GLOBAL SECURITIES SERVIC		IN	1,270,546	0.25
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES		IN	1,205,273	0.24

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
MORGAN STANLEY M	CITIBANK N A, CUSTODY SERVICES		IN	1,166,368	0.23
FIDELITY EMERGING M	DEUTSCHE BANK AG, DB HOUSE, F		IN	1,143,794	0.23
DB INTERNATIONAL	DEUTSCHE BANK AG, DB HOUSE, F		IN	1,062,952	0.21
COLLEGE RETIREMENT	DEUTSCHE BANK AG, DB HOUSE, F		IN	879,829	0.17
WISDOMTREE INDIA I	HSBC SECURITIES SERVICES, 11TH		IN	550,979	0.11
GOVERNMENT PENSIO	CITIBANK N.A. CUSTODY SERVICES		IN	531,903	0.11
VANGUARD FTSE ALL	DEUTSCHE BANK AG, DB HOUSE, F		IN	461,381	0.09
FIDELITY CENTRAL IN	JPMORGAN CHASE BANK N.A., MU		IN	434,995	0.09
ISHARES INDIA SC MA	HSBC SECURITIES SERVICES, 11TH		IN	388,309	0.08
CITY OF NEW YORK GI	DEUTSCHE BANK AG, DB HOUSE, F		IN	323,200	0.06
CALIFORNIA PUBLIC E	DEUTSCHE BANK AG, DB HOUSE, M		IN	288,671	0.06
NOMURA SINGAPORE	HSBC SECURITIES SERVICES, 11TH		IN	228,639	0.05
BELGIAN INVESTMENT	188A AVENUE DE Tervuren B4, B		BE	180,000	0.04
EMERGING MARKETS	HSBC SECURITIES SERVICES, 11TH		IN	162,343	0.03
STATE STREET GLOBAL	DEUTSCHE BANK AG, DB HOUSE, F		IN	134,131	0.03
FIDELITY INVESTMENT	CITIBANK N.A. CUSTODY SERVICES		IN	116,223	0.02
NORTHERN TRUST CO	CITIBANK N.A. CUSTODY SERVICES		IN	103,081	0.02

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	51,369	66,975
Debenture holders	86,650	89,498

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	1	0	0.08	0
B. Non-Promoter	0	8	0	6	0	0.36
(i) Non-Independent	0	2	0	2	0	0.36
(ii) Independent	0	6	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	8	1	6	0.08	0.36

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

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(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
HEMANT KANORIA	00193015	Managing Director	380,000	
SUNIL KANORIA	00421564	Director	1,802,714	
SRINIVASACHARI RAJ	00022609	Director	0	
SHYAMALENDU CHAT	00048249	Director	0	
RAM KRISHNA AGARV	00416964	Director	0	
MALAY MUKHERJEE	02272425	Additional director	0	
PUNITA KUMAR SINHA	05229262	Director	0	
SAMEER SAWHNEY	AARPS1573M	CEO	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANDEEP KUMAR LAH	AAXPL4123C	Company Secretary	335,993	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SALIL KUMAR GUPTA	00651223	Director	22/07/2017	CESSATION
TAMALI SENGUPTA	00358658	Director	26/10/2017	CESSATION
MALAY MUKHERJEE	02272425	Additional director	26/10/2017	APPOINTMENT
RANGANATHAN ANAND	03091352	Director	07/12/2017	CESSATION
KISHORE KUMAR LOCHAN	ABBPL8821A	CFO	15/01/2018	CESSATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	22/07/2017	49,020	2,401	61.62

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/05/2017	9	9	100
2	22/07/2017	9	9	100
3	26/10/2017	9	8	88.89
4	17/01/2018	7	6	85.71

C. COMMITTEE MEETINGS

Number of meetings held

46

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	09/05/2017	4	4	100
2	AUDIT COMM	22/07/2017	4	4	100
3	AUDIT COMM	26/10/2017	3	3	100
4	AUDIT COMM	17/01/2018	3	3	100
5	NOMINATION	08/05/2017	3	2	66.67
6	NOMINATION	25/10/2017	3	2	66.67
7	NOMINATION	17/01/2018	3	3	100
8	STAKEHOLDER	22/04/2017	3	3	100
9	STAKEHOLDER	09/05/2017	3	3	100
10	STAKEHOLDER	19/05/2017	3	2	66.67

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	21/07/2018
								(Y/N/NA)
1	HEMANT KAN	4	4	100	39	37	94.87	Yes
2	SUNIL KANOF	4	4	100	46	43	93.48	Yes
3	SRINIVASACH	4	4	100	5	5	100	Yes
4	SHYAMALENI	4	4	100	35	35	100	Yes
5	RAM KRISHN	4	3	75	0	0	0	Yes
6	MALAY MUKH	2	2	100	0	0	0	Yes
7	PUNITA KUM.	4	4	100	4	4	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	HEMANT KANORIA	MANAGING DIR	22,000,000	6,000,000	0	0	28,000,000
	Total		22,000,000	6,000,000	0	0	28,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SAMEER SAWHNE	CEO	14,020,639	0	0	0	14,020,639
2	KISHORE KUMAR	CFO	4,595,989	0	0	0	4,595,989
3	SANDEEP KUMAR	COMPANY SEC	8,631,646	0	0	0	8,631,646
	Total		27,248,274	0	0	0	27,248,274

Number of other directors whose remuneration details to be entered

9

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL KANORIA	NON-EXECUTIVE	0	1,250,000	0	1,060,000	2,310,000
2	RAM KRISHNA AG	NON-EXECUTIVE	0	1,500,000	0	320,000	1,820,000
3	SALIL KUMAR GUPTA	INDEPENDENT	0	0	0	345,000	345,000
4	SRINIVASACHARI	INDEPENDENT	0	1,250,000	0	560,000	1,810,000
5	SHYAMALENDU CHAKRABARTY	INDEPENDENT	0	1,500,000	0	990,000	2,490,000
6	PUNITA KUMAR SINGH	INDEPENDENT	0	1,500,000	0	550,000	2,050,000
7	TAMALI SENGUPTA	INDEPENDENT	0	0	0	225,000	225,000
8	RANGANATHAN ANAND	INDEPENDENT	0	0	0	350,000	350,000
9	MALAY MUKHERJEE	INDEPENDENT	0	500,000	0	225,000	725,000
	Total		0	7,500,000	0	4,625,000	12,125,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MOHAN RAM GOENKA

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

2551

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

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dated

02/08/2014

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

HEMANT
KANORIA

DIN of the director

00193015

To be digitally signed by

SANDEEP
KUMAR
LAKHOTIA

☒ Company Secretary

☐ Company secretary in practice

Membership number

7671

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

Details of FII's.pdf
Additional Notes.pdf
Details of Committee Meetings.pdf
List of Equity Shares Transfer.pdf
Debenture Details.pdf
MGT-8_SIFL.pdf
List of Debenture Transfer.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

