

**MINISTRY OF CORPORATE AFFAIRS**  
**RECEIPT**  
**G.A.R.7**

SRN : H93559565

Service Request Date : 24/09/2019

Payment made into : State Bank of India

**Received From :**

**Name :** MR AND ASSOCIATES  
**Address :** 46, B B GANGULY STREET, 4TH FLOOR  
ROOM NO.6  
KOLKATA, West Bengal  
India - 700012

**Entity on whose behalf money is paid**

**CIN:** L29219WB1985PLC055352  
**Name :** SREI INFRASTRUCTURE FINANCE LIMITED  
**Address :** VISHWAKARMA,86C, TOPSIA ROAD (SOUTH),  
KOLKATA, West Bengal  
India - 700046

**Full Particulars of Remittance**

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2019	Normal	600.00
<b>Total</b>		<b>600.00</b>

**Mode of Payment:** Internet Banking - State Bank of India

**Received Payment Rupees:** Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)



# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L29219WB1985PLC055352

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACS1425L

(ii) (a) Name of the company

SREI INFRASTRUCTURE FINANC

(b) Registered office address

VISHWAKARMA,86C,TOPSIA ROAD (SOUTH),  
KOLKATA  
West Bengal  
700046  
India

(c) \*e-mail ID of the company

secretarial@srei.com

(d) \*Telephone number with STD code

03361607734

(e) Website

www.srei.com

(iii) Date of Incorporation

29/03/1985

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital  Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)  Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	BSE LTD. and NSE LTD.	1,025

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KARVY FINTECH PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Karvy Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally

(vii) \*Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 27/07/2019

(b) Due date of AGM 30/09/2019

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	99.41

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 14

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ADISRI COMMERCIAL PRIVATE	U67190WB2014PTC199720	Holding	60.36
2	SREI CAPITAL MARKETS LIMITE	U67190WB1998PLC087155	Subsidiary	100

3	SREI ALTERNATIVE INVESTMEN	U65999WB1994PLC065722	Subsidiary	100
4	HYDERABAD INFORMATION TE	U72200TG1998PLC029282	Subsidiary	51
5	CYBERABAD TRUSTEE COMPAI	U72200TG1999PTC033128	Subsidiary	51
6	BENGAL SREI INFRASTRUCTUR	U70109WB2004PLC100517	Subsidiary	51
7	CONTROLLA ELECTROTECH PV	U29303WB1991PTC052455	Subsidiary	100
8	SREI MUTUAL FUND ASSET MA	U65990WB2009PTC139801	Subsidiary	100
9	SREI MUTUAL FUND TRUST PRI	U65990WB2009PTC139790	Subsidiary	100
10	SREI INSURANCE BROKING PRI	U67120WB2002PTC095019	Subsidiary	100
11	SREI ASSET FINANCE LIMITED	U65999WB2014PLC202301	Subsidiary	100
12	SREI EQUIPMENT FINANCE LIM	U70101WB2006PLC109898	Subsidiary	100
13	E VILLAGE KENDRA LIMITED	U67190WB2002PLC095455	Associate	49.47
14	IIS INTERNATIONAL INFRASTRU		Associate	49.13

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,000,000,000	503,559,160	503,559,160	503,086,333
Total amount of equity shares (in Rupees)	10,000,000,000	5,035,591,600	5,035,591,600	5,030,863,330

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10/- each				
Number of equity shares	1,000,000,000	503,559,160	503,559,160	503,086,333
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	10,000,000,000	5,035,591,600	5,035,591,600	5,030,863,330

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	50,000,000	0	0	0
Total amount of preference shares (in rupees)	5,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares of Rs. 100/- each				
Number of preference shares	50,000,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	5,000,000,000	0	0	0

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	503,086,333	5,030,863,330	5,030,863,330	
<b>Increase during the year</b>	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				

<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	503,086,333	5,030,863,330	5,030,863,330	

<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil  
 [Details being provided in a CD/Digital Media]  Yes  No  Not Applicable

Separate sheet attached for details of transfers  Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	21/07/2018
---	------------

Date of registration of transfer (Date Month Year)	
--	--

Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
---	--	---	--

Ledger Folio of Transferor	
----------------------------	--

Transferor's Name			
	Surname	middle name	first name

Ledger Folio of Transferee	
----------------------------	--

Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	
--	--

Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
---	--	---	--



Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	14,113,040	1000,100000,1000000	27,891,500,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			87,194,051,956
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			10,088,052,313
Deposit			99,889
<b>Total</b>			<b>125,173,704,158</b>

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	29,628,200,000	3,200,000,000	4,936,700,000	27,891,500,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

1

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Commercial Paper	10,390	500000	5,195,000,000	500000	5,195,000,000
<b>Total</b>	<b>10,390</b>		<b>5,195,000,000</b>		<b>5,195,000,000</b>

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

(i) Turnover

18,784,478,801

(ii) Net worth of the Company

28,446,852,900

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,182,714	0.43	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	303,685,845	60.36	0	

10.	Others	0	0	0	
	<b>Total</b>	305,868,559	60.79	0	0

**Total number of shareholders (promoters)**

4

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	72,086,849	14.33	0	
	(ii) Non-resident Indian (NRI)	8,064,598	1.6	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	113,804	0.02	0	
5.	Financial institutions	738,471	0.15	0	
6.	Foreign institutional investors	64,294,891	12.78	0	
7.	Mutual funds	8,182,608	1.63	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	39,941,179	7.94	0	
10.	Others CLEARING MEMBERS, TRUS	3,795,374	0.75	0	
	<b>Total</b>	197,217,774	39.2	0	0

**Total number of shareholders (other than promoters)**

74,966

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

74,970

## (c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company

56

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
FIDELITY INVESTMENT	CITIBANK N.A. CUSTODY SERVICES		IN	34,355,610	6.83
GOVERNMENT PENSIC	CITIBANK N.A. CUSTODY SERVICES		IN	6,472,202	1.29
VANGUARD TOTAL IN	JPMORGAN CHASE BANK N.A. IND		IN	4,223,729	0.84
VANGUARD EMERGIN	JPMORGAN CHASE BANK N.A. IND		IN	3,494,023	0.69
MORGAN STANLEY FR	CITIBANK N.A. CUSTODY SERVICES		IN	2,964,677	0.59
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES		IN	1,890,601	0.38
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES		IN	1,867,533	0.37
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES		IN	1,205,273	0.24
FIDELITY EMERGING M	DEUTSCHE BANK AG, DB HOUSE ,F		IN	1,143,794	0.23
MV SCIF MAURITIUS	DEUTSCHE BANK AG, DB HOUSE ,F		IN	1,065,605	0.21
VANGUARD FTSE ALL-	DEUTSCHE BANK AG, DB HOUSE ,F		IN	775,148	0.15
NOMURA SINGAPORE	HSBC SECURITIES SERVICES, GORE		IN	498,956	0.1
FIDELITY CENTRAL INV	JPMORGAN CHASE BANK N.A. IND		IN	434,995	0.09
EMERGING MARKETS	HSBC SECURITIES SERVICES, GORE		IN	362,297	0.07
CITY OF NEW YORK GF	DEUTSCHE BANK AG, DB HOUSE ,F		IN	323,200	0.06
FIRST WATER FUND	KOTAK MAHINDRA BANK LIMITED		IN	269,500	0.05
SPDR S AND P EMERG	DEUTSCHE BANK AG, DB HOUSE ,F		IN	235,542	0.05
INDIA OPPORTUNITIES	Standard Chartered Bank, CRESC		IN	224,665	0.04
CALIFORNIA PUBLIC E	DEUTSCHE BANK AG, DB HOUSE ,F		IN	222,061	0.04
SPDR S AND P EMERG	DEUTSCHE BANK AG, DB HOUSE ,F		IN	211,818	0.04
BELGIAN INVESTMENT	188A AVENUE DE TERVUREN B4		BE	180,000	0.04
THE BOEING COMPAN	CITIBANK N.A. CUSTODY SERVICES		IN	178,340	0.04
WORLD EX U.S. CORE	CITIBANK N.A. CUSTODY SERVICES		IN	127,451	0.03
EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE ,F		IN	117,985	0.02

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
FIDELITY INVESTMENT	CITIBANK N.A. CUSTODY SERVICES		IN	116,223	0.02

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	66,975	74,966
Debenture holders	89,498	75,214

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	0	1	0	0.08	0
<b>B. Non-Promoter</b>	0	6	0	8	0	0.36
(i) Non-Independent	0	2	0	2	0	0.36
(ii) Independent	0	4	0	6	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	6	1	8	0.08	0.36

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
HEMANT KANORIA	00193015	Managing Director	380,000	
SUNIL KANORIA	00421564	Director	1,802,714	
TAMALI SENGUPTA	00358658	Additional director	0	
RAM KRISHNA AGARV	00416964	Director	0	
BALAJI VISWANATHAN	01794148	Additional director	0	
MALAY MUKHERJEE	02272425	Director	0	
PUNITA KUMAR SINHA	05229262	Director	0	
SRINIVASACHARI RAJ	00022609	Director	0	
SHYAMALENDU CHAT	00048249	Director	0	
SANDEEP KUMAR LAH	AAXPL4123C	Company Secretar	335,993	
SANDEEP KUMAR SUI	ALWPS5812P	CFO	0	
RAKESH KUMAR BHU	ADPPB9322E	CEO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SANDEEP KUMAR SUI	ALWPS5812P	CFO	05/07/2018	APPOINTMENT
RAM KRISHNA AGARV	00416964	Director	21/07/2018	CHANGE IN DESIGNATION
MALAY MUKHERJEE	02272425	Additional director	21/07/2018	CHANGE IN DESIGNATION
SAMEER SAHWNEY	AARPS1573M	CEO	05/09/2018	CESSATION
BALAJI VISWANATHAN	01794148	Additional director	05/09/2018	APPOINTMENT
RAKESH KUMAR BHU	ADPPB9322E	CEO	16/11/2018	APPOINTMENT
TAMALI SENGUPTA	00358658	Additional director	04/02/2019	APPOINTMENT

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	21/07/2018	67,880	2,207	61.78

**B. BOARD MEETINGS**

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	28/04/2018	7	6	85.71
2	23/06/2018	7	7	100
3	05/09/2018	7	7	100
4	16/11/2018	8	8	100
5	21/01/2019	8	7	87.5
6	04/02/2019	9	9	100

**C. COMMITTEE MEETINGS**

Number of meetings held

19

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	28/04/2018	3	2	66.67
2	Audit Committee	23/06/2018	3	3	100
3	Audit Committee	05/09/2018	3	3	100
4	Audit Committee	16/11/2018	4	4	100
5	Audit Committee	21/01/2019	4	3	75
6	Audit Committee	04/02/2019	4	4	100
7	Nomination and	28/04/2018	3	2	66.67
8	Nomination and	23/06/2018	3	3	100
9	Nomination and	05/09/2018	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
10	Nomination an	16/11/2018	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 27/07/2019 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
		1	HEMANT KAN	6	6	100	8	7
2	SUNIL KANOR	6	4	66.67	19	15	78.95	Yes
3	TAMALI SENC	1	1	100	0	0	0	Yes
4	RAM KRISHN	6	6	100	3	3	100	Yes
5	BALAJI VISW	4	3	75	0	0	0	Yes
6	MALAY MUKH	6	6	100	0	0	0	Yes
7	PUNITA KUM,	6	6	100	0	0	0	Yes
8	SRINIVASACI	6	6	100	11	11	100	Yes
9	SHYAMALEN	6	6	100	19	19	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	HEMANT KANORIA	MANAGING DIR	21,000,000	0	0	3,660,000	24,660,000
	Total		21,000,000	0	0	3,660,000	24,660,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SAMEER SAHNEY	CEO	6,773,008	0	0	0	6,773,008



S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	SANDEEP KUMAR	CFO	6,298,383	0	0	21,600	6,319,983
3	SANDEEP KUMAR	COMAPANY SE	8,608,080	0	0	32,400	8,640,480
4	RAKESH KUMAR E	CEO	15,135,371	0	0	13,500	15,148,871
	Total		36,814,842	0	0	67,500	36,882,342

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL KANORIA	NON-EXECUTIV	0	800,000	0	1,075,000	1,875,000
2	BALAJI VISWANATH	NON-EXECUTIV	0	0	0	350,000	350,000
3	SRINIVASACHARI	INDEPENDENT	0	800,000	0	850,000	1,650,000
4	SHYAMALENDU CH	INDEPENDENT	0	800,000	0	1,340,000	2,140,000
5	PUNITA KUMAR S	INDEPENDENT	0	800,000	0	750,000	1,550,000
6	RAM KRISHNA AG	INDEPENDENT	0	800,000	0	720,000	1,520,000
7	MALAY MUKHERJI	INDEPENDENT	0	800,000	0	765,000	1,565,000
8	TAMALI SENGUPT	INDEPENDENT	0	0	0	125,000	125,000
	Total		0	4,800,000	0	5,975,000	10,775,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8,

Name

MOHAN RAM GOENKA

Whether associate or fellow

Associate  Fellow

Certificate of practice number

2551

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no... 15 dated 02/08/2014

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

HEMANT  
KANORIA

DIN of the director

00193015

**To be digitally signed by**

SANDEEP  
KUMAR  
LAKHOTIA

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

- 
- 
- 
- 

**List of attachments**

- Debenture Details as on 31 03 2019.pdf
- MGT-8\_SIFL.pdf
- Additional Notes.pdf
- Details of Equity Share Transfers 2019.pdf
- Details of Debenture Transfers - 2019.pdf
- Details of Flls 31 03 2019.pdf
- Details of Committee Meetings.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

