



**SREI INFRASTRUCTURE FINANCE LIMITED**

CIN: L29219WB1985PLC055352

Registered Office: "Vishwakarma", 86C,

Topsia Road (South), Kolkata – 700 046

Tel. No.: +91 33 6160 7734, Fax no.: +91 33 22857542 / 8501

Website: www.srei.com, Email: investor.relations@srei.com

Date: 1st June, 2020

Dear Shareholder(s),

**Sub: Intimation of Transfer of Equity Shares of the Company to demat account of the Investor Education and Protection Fund (IEPF) Authority**

This has reference to the captioned subject.

- a) As you are aware, dividend declared by the Company is remitted either electronically or by sending dividend warrant at the registered address of the shareholders. We have sent reminders periodically to the shareholders at their last registered address for claiming their unencashed dividend, if any.
- b) As per the provisions of Section 124 of the Companies Act, 2013, all unpaid/unclaimed dividends are required to be transferred by the Company to the IEPF established by the Central Government, after completion of 7 (seven) years. Full details of such unclaimed dividends are updated on the website of the Ministry of Corporate Affairs (MCA), [www.mca.gov.in](http://www.mca.gov.in) as well as on the website of the Company [www.srei.com](http://www.srei.com).
- c) Pursuant to the provisions of Section 124 of the Companies Act, 2013, read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("Rules"), as amended, all shares in respect of which dividend has not been encashed or claimed during the last 7 (seven) consecutive years or more shall be transferred to the demat account of IEPF Authority (IEPF account). You may please note that all unpaid/unclaimed dividends upto the year 2011-12 have already been transferred by the Company to the IEPF within the stipulated statutory time. However, as per our records some unpaid/unclaimed dividends continue to be available with the Company in respect of the financial year 2012-13 to financial year 2018-19.
- d) The Company has also uploaded full details of shareholders, their shares and unpaid dividend due for transfer to IEPF account on the website of the Company [www.srei.com](http://www.srei.com). We, therefore, request you to claim the aforesaid unpaid / unclaimed dividend entitlement at the earliest but not later than 10th September, 2020. In case you have not encashed the aforesaid payment instrument(s) and still holding any of the aforesaid payment instrument(s), you are requested to forward the same immediately to our Registrar & Share Transfer Agents (RTA) to enable us to remit the dividend amount(s). In case you have already encashed the payment instrument(s), please ignore this email. To enable us to remit the outstanding dividend amounts by Electronics means, for the shares held in physical form, please arrange to send the request letter, as attached, duly signed and cancelled bank cheque leaf in original, to our Registrar and Share Transfer Agent. For shares held in electronic form, please arrange to send the request letter alongwith updated client master copy (self attested) to enable us to pay the outstanding dividend amount directly to your bank account. Please note that the outstanding dividend amounts will be credited to your bank account and hence, please ensure that your bank account details are properly updated with your Depository Participant.
- e) In case the dividends are not claimed before the date specified, the Company shall transfer the shares to the IEPF account by the due date as per procedure stipulated in the Rules, without any further notice. No claim shall lie against the Company in respect to unclaimed dividend amount and shares transferred to IEPF account pursuant to the said Rules.
- f) You may, however claim from IEPF Authority, both the unclaimed dividend amount and the shares, including all benefits accruing on such shares, if any, by making an on-line application in prescribed Form IEPF-5 along with requisite fees, and transmit E-Form IEPF-5 available at [www.iepf.gov.in](http://www.iepf.gov.in)

online to the Nodal Officer for verification of the claim. Further, you are requested to send the original physical share certificate, original bond, deposit certificate, debenture certificate, as the case may be, along with Indemnity Bond, Advance Receipts, any other document as enumerated in Form No. E-IEPF-5, duly signed, to the Nodal Officer at the registered office of the Company for verification of the claim as per Rules. The Rules and E-Form IEPF-5, as prescribed by the MCA for claiming back the shares/dividend, are available on the website of the Company www.srei.com as well as on the website of MCA at www.iepf.gov.in.

- g) The concerned shareholders, holding shares in physical form and whose shares are liable to be transferred to IEPF, may note that the Company would be issuing new share certificate(s) in lieu of the original share certificate(s) held by them for the purpose of transfer of shares to IEPF as per IEPF Rules and upon such issue, the original share certificate(s) which stand registered in their name will stand automatically cancelled and be deemed non-negotiable. The shareholders may further note that the details uploaded by the Company on its website should be regarded and shall be deemed adequate notice in respect of issue of such certificate(s) by the Company for the purpose of transfer of shares to IEPF, pursuant to IEPF Rules.
- h) Please feel free to contact the Company/KFin Technologies Pvt. Ltd, Registrar & Share Transfer Agents (RTA) in case you have any queries at the following email/telephone number(s):

Company contact details	RTA contact details
<b>Srei Infrastructure Finance Limited</b> Registered Office : “Vishwakarma”, 86C, Topsia Road (South), Kolkata – 700 046 Tel. No. +91 33 6160 7734 Fax no. +91 3322857542 / 8501 Website : www.srei.com Email: investor.relations@srei.com	<b>KFin Technologies Pvt. Ltd.</b> Unit: Srei Infrastructure Finance Ltd Selenium Tower B, Plot 31-32, Financial District, Nanakramguda, Hyderabad – 500 032 Toll Free No.1800 3454 001 Email: einward.ris@kfintech.com

Yours faithfully,  
For **SREI INFRASTRUCTURE FINANCE LIMITED**

**Sd/-**  
**Sandeep Lakhotia**  
**Company Secretary**  
**FCS No. 7671**

Letter

To,  
KFin Technologies Pvt. Ltd.  
Unit: Srei Infrastructure Finance Ltd  
Selenium Tower B, Plot 31-32, Financial  
District, Nanakramguda, Hyderabad – 500  
032  
Dear Sir/Madam,

**Sub: Payment of unclaimed dividend**

Tick (√) whichever is applicable:

- ( ) I enclose the original dividend warrant(s) / payment instrument. Kindly arrange for payment thereof.  
( ) I do not possess the dividend warrant(s) / payment instrument. Kindly arrange for payment on the basis of this advice.

I / we hereby agree and undertake to hold you harmless and to keep you protected from/against all losses, costs or damages which you may sustain or incur by reason of your issuing Demand Draft(s) / Pay Order(s) or by the original Dividend Warrant(s) / payment instrument being, at any time, found and presented for

payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested therein.

I confirm that I have neither encashed the dividend warrant / payment instrument earlier sent to me nor have I received any money in connection with the payment(s) mentioned herein.

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Signature of first holder