



**SEFL/SECT/AA/22-23/37**

August 04, 2022

The Secretary  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai - 400 001

The Secretary  
**National Stock Exchange of India Limited**  
Exchange Plaza, 5th Floor, Plot no. C/1, G Block  
Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051

Dear Sir,

**Sub: SEBI (LODR) Regulations, 2015 - Compliance under Regulation 6(1)**

Please find enclosed herewith the details of Compliance Officer of the Company as on March 31, 2022 and June 30, 2022 as specified under Regulation 6(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking you.

Yours faithfully,  
For **Srei Equipment Finance Limited**

**Sumit Kumar Surana**  
**Company Secretary**  
**ACS 19243**

Encl: as above

**Srei Equipment Finance Limited**

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +81 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: [www.srei.com](http://www.srei.com)

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700046

### Details of Compliance Officer of the Company

Quarters	Name of Company	Name of Compliance officer	Whether compliance officer qualified company secretary(Yes/No)	Membership No.	Date of Appointment	Date of resignation, if any
Dec – 2020	Srei Equipment Finance Limited	Ritu Bhojak	Yes	FCS 8532	27.11. 2017	-
Mar – 2021	Srei Equipment Finance Limited	Ritu Bhojak	Yes	FCS 8532	27.11. 2017	-
June– 2021	Srei Equipment Finance Limited	Ritu Bhojak <i>(Resigned w.e.f. 10.05.2021)</i>	Yes	FCS 8532	27.11. 2017	10.05.2021
Sep – 2021	Srei Equipment Finance Limited	Sumit Kumar Surana <i>(Appointed w.e.f. 20.08.2021)</i>	Yes	ACS 19243	20.08.2021	20.08.2021 (Due to the appointment of the Compliance Officer)
Dec – 2021	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	-
Mar – 2022	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	-
Jun – 2022	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	-





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**National Stock Exchange of India Limited**  
Exchange Plaza, 5th Floor, Plot no. C/1, G Block  
Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051

Dear Sir,

**Sub: SEBI (LODR) Regulations, 2015 – Compliance under Regulation 7(1)**

Please find enclosed herewith the details of RTA of the Company as on March 31, 2022 and June 30, 2022 as specified under Regulation 7(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking you.

Yours faithfully,  
For **Srei Equipment Finance Limited**

**Sumit Kumar Surana**  
**Company Secretary**  
**ACS 19243**

Encl: as above

**Srei Equipment Finance Limited**

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

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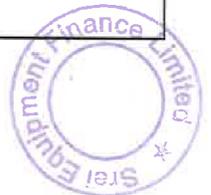
Tel: +91 33 6639 4700 / 6602 2000 Fax: +81 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: [sefl@srei.com](mailto:sefl@srei.com) Website: [www.srei.com](http://www.srei.com)

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700046

### Details of RTA

Quarters	Name of Company	Name of RTA	Date of Appointment	Date of cessation/change, if any
Dec – 2020	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	-
Mar – 2021	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	-
June – 2021	Srei Equipment Finance Limited	KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares	-



		Company)  S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)	and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	
Sep – 2021	Srei Equipment Finance Limited	KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)  S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	-
Dec – 2021	Srei Equipment Finance Limited	KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)  S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	-
Mar – 2022	Srei Equipment Finance Limited	KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares	Name changed to KFin Technologies

		Company)  S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)	and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	Limited
Jun – 2022	Srei Equipment Finance Limited	KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)  S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	-



