

SIFL/SECT/FA/22-23/09 April 20, 2022

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001 Fax: 022-2272 2037/2039/2041/3121 BSE Scrip Code: 523756 The Secretary National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot no. C/1 G Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Fax: 022-2659 8237/38; 2659 8347/48 NSE Symbol: SREINFRA

Dear Sir,

## Sub: Shareholding Pattern for the Quarter ended on 31st March, 2022

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Shareholding pattern of the Company for the Quarter ended on 31st March, 2022, in the prescribed format. The same is also being hosted on the Company's website <u>www.srei.com</u>.

Thanking you.

Yours faithfully, For **Srei Infrastructure Finance Limited** 

Manoj Kumar Company Secretary & Chief Financial Officer FCS 6698

Encl.: as above

# Srei Infrastructure Finance Limited

CIN: L29219WB1985PLC055352 (A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021) Registered Office: 'Vishwakarma' 86C, Topsia Road (South), Kolkata - 700046 Tel.: +91.33.22850112-15, 61607734, Fax: +91.33.2285 7542/8501 Email: corporate@srei.com Website: www.srei.com

1.	Name of Listed Entity: SREI INFRASTRUCTURE FINANCE LIMITED		
2.	Scrip Code/Name of Scrip/Class of Security:523756,SREINFRA,EQUITY SHARES		
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)		
	a. if under 31(1)(b) then indicate the report for quarter ending 31/03/2022		
	b. if under 31(1)(c) then indicate date of allotment/extinguishment		
4.	Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:		
	Particulars	YES*	NO*
а	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
с	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?		NO
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
f	Whether company has equity shares with diffrential voting rights		NO
g	Whether listed company has any significant beneficial owner	YES	

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

## Table I - Summary Statement holding of specified securities

Category	Category of Shareholder		up equity shares	No of Partly paid- up equity shares held		Total No of Shares Held (VII) =	Shareholding as a % of total no of shares (As a %	Number of Voting R	ights held in e	ach class of securit	ies	No of Shares Underlying Outstanding	Shareholding as a % assuming full conversion of	Number of I Shares		Number of S or otherwise	Shares pledged encumbered	
					Receipts	(IV)+(V)+(VI)	of (A+B+C2))					converttible securities (Including Warrants)	convertible Securities					dematerialized form
								No e	of Voting Righ		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares	
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(D	()		(X)	(XI)	(	(XII)	()	XIII)	(XIV)
(A)	Promoter & Promoter Group	3	305868559	) 0		0 305868559	60.80	305868559	0	305868559	60.80	(	60.80		0 0.00	, (	0.00	0 30586855
(B)	Public	114858	197217774	4 0		0 197217774	39.20	197217774	0	197217774	39.20	(	39.20		0 0.00	) NA	A NA	
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0 0		0 0	NA	0	0	0	0.00	(	) NA		0 0.00	) NA	A NA	4
(C2)	Shares held by Employes Trusts	0	0	0 0		0 0	0.00	0	0	0	0.00	(	0.00		0 0.00	) NA	A NA	1
	Total:	114861	503086333	3 0		0 503086333	100.00	503086333	0	503086333	100.00	(	100.00		0 0.00	,	0.00	0 50043096

Note:

\* Shareholding has been consolidated based on PAN of shareholders.

### Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder			p No of Partly paid- up equity shares held			Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2	1	oting Rights h	eld in each clas	of securities	No of Shares Underlying Outstanding converttible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Lock		Number of Sh otherwise enc	nares pledged or sumbered	Number of equity shares held in dematerialized form
								1	No of Voting I	Rights	Total as a of (A+B+C				As a % of total Shares	No.	As a % of total Shares held	
	-	1					1	Class X	Class Y	Total		,						
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)		(X)	(XI)	(XI	П)		(XIII)	(XIV)
(1)	Indian							<u> </u>									<u> </u>	
(a)	Individuals/Hindu undivided Family	2	2 2182714	4 (	0	0 2182714			4	0 2182		0.43	0 0.4	3 0	0.00		0 0.0	
	SUNIL KANORIA	1	1 1802714	4 (	0	0 1802714	4 0.36	180271	4	0 1802	/14	0.36	0 0.3	6 0	0.00		0.0	00 1802714
	HEMANT KANORIA	1	1 380000	0 (	0	0 380000	0.08	38000	0	0 380	000	0.08	0 0.0	0	0.00		0 0.0	00 380000
(b)	Central Government/State Government(s)	0	) (	0 (	0	0 0	0.00		0	0	0	0.00	0 0.0	0 0	0.00		0 0.0	<u>)</u> 0.
(c)	Financial Institutions/Banks	0	) (	0 /	0	0 0	0.00		0	0	0	0.00	0 0.0	0 0	0.00		0.0	9 0.
(d)	Any Other		1 303685845	5 /	0	0 303685845			5	0 303685		0.36	0 60.3	6 0	0.00		0.0	
	ADISRI COMMERCIAL PRIVATE LIMITED	1	1 303685845	5 (	0	0 303685845				0 303685		0.36	0 60.3		0.00		0 0.0	
	Sub-Total (A)(1)	3	3 305868559	<u>y</u> /	0	0 305868559	9 60.80	30586855	9	0 305868	59 6	0.80	0 60.8	0 0	0.00		0 0.0	00 305868559
(2)	Foreign																	
1	Individuals (Non-Resident Individuals/Foreign														1			
(a)	Individuals	0	<u>, (</u>	<u>)                                    </u>	0	0 0	0.00		0	0		0.00	0 0.0		0.00		0 0.0	
(b)	Government	0	<u> </u>	<u>)</u>	0	0 0	0.00		0	0	-	0.00	0 0.0		0.00		0 0.0	
(c)	Institutions	0	<u>/                                    </u>	<u>)                                    </u>	0	0 0	0.00		0	0	0	0.00	0 0.0	0 0	0.00		0 0.0	0 0
(d)	Foreign Portfolio Investor	(	) (	0 (	0	0 0	0.00		0	0	0	0.00	0 0.0	0 0	0.00		0 0.0	0
(e)	Any Other	(	) (	0	0	0 (	0.00		0	0	0	0.00	0 0.0	0 00	0.00		0 0.0	0.0
	Sub-Total (A)(2)		) (	0	0	0 6	0.00		0	0	0	0.00	0 0.0	0 0	0.00		0 0.0	J <mark>0 (</mark>
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	:	3 305868559	,9	0	0 305868559	9 60.80	30586855	9	0 305868	59 6	0.80	0 60.8	30 0	0.00		0 0.0	00 305868559

Note:

\* Shareholding has been consolidated based on PAN of the shareholders.

# All entites falling under Promoter and Promoter group and holding shares as on 31st March, 2022, have only been disclosed.

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

#### Table III - Statement showing shareholding pattern of the Public shareholder

Category	ory Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid- up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Vo	oting Rights he	eld in each class o	of securities	No of Shares Underlying Outstanding converttible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of i	Locked in Shares	Number of Shares pledged otherwise encumbered	or Number of equity shares held in dematerialized form
									N	o of Voting Ri	ights	Total as a % of (A+B+C)			No.	As a % of total Shares	No. As a % of t Shares held	
									Class X	Class Y	Total							
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	1	(X)	(XI)	_	(XII)	(XIII)	(XIV)
(1)	Institutions																	
(a)	Mutual Funds			0 0	0 0	1	0 (	0.00		2	0 0	0.00		0 0.0		0 0.00		
(b)	Venture Capital Funds			0 0	0 0		0 (	0.00		)	0 (	0.00		0 0.0	-	0 0.00		
(c)	Alternate Investment Funds			0 (	0 0		0 (	0.00	0	2	0 (	0.00		0 0.0	-	0 0.00		
(d)	Foreign Venture Capital Investors			0 (	0 0		0 (	0.00	0	2	0 (	0.00		0 0.0	-	0 0.00		
(e)	Foreign Portfolio Investors			5 473789	9 0		0 473789			2	0 473789			0 0.0		0 0.00		29378
(†)	Financial Institutions/Banks			0 0			0 (	0.00	0	2		0.00		0 0.0		0 0.00		
(g)	Insurance Companies					/	0 (	0.00		2		0.00		0 0.0	-	0 0.00		
(h)	Provident Funds/Pension Funds			0 (	0 0		0 (	0.00		'	0 0	0.00		0 0.0	0	0 0.00	NA NA	
(1)	Any Other			5 472790			0 472700	0.00	472700		0 472790			0 00		0 0.00		29378
	Sub Total (B)(1)			5 473789	9 0	1	0 473789	0.09	473789	<u>'</u>	0 473789	9 0.09	,	0 0.0	19	0 0.00	NA NA	29378
0	Central Government/State Government(s)/President of India			0			0	0.00				0.00		0.0		0 0.00	NA NA	
(2)	Sub Total (B)(2)			0 0			0 (	0.00		<u>,</u>		0.00		0 0.0	÷	0 0.00		
(3)	Non-Institutions					/		0.00		<u>'</u>		0.00	,	0.0		0.00		
(5)	i.Individual shareholders holding nominal share capital up to																	
(a)	Rs.2 lakhs		11259	103576689	0		0 103576689	20.59	103576689		0 103576689	20.59	2	0 20.5	9	0 0.00	NA NA	10291322
	ii.Individual shareholders holding nominal share capital in		1125)	105570005			10557000,	20.37	105570005		10557000	20.5		20.5		0.00		102)1322
	excess of Rs. 2 Lakhs		88	52079335	5 0		0 52079335	10.35	52079335	;	0 52079335	5 10.35	5	0 10.3	5	0.00	NA NA	5207933
				02077000			0 02077000	10.000	02017000		0 02077555	10101		1015		0.00		5207755
(b)	NBFCs Registered with RBI			1 2400	0 0		0 2400	0.00	2400		0 2400	0.00	)	0.0	0	0 0.00	NA NA	240
(c)	Employee Trusts			0 (	0 0		0 (	0.00			0 0	0.00		0.0	-	0 0.00		210
()	Overseas Depositories (Holding DRs)(Balancing figure)			0 (	0 0		0 (	0.00			0 0	0.00		0 0.0	0	0 0.00		
(e)	Any Other				-		-											
(-)	TRUSTS			3 2145692	2 0		0 2145692	0.43	2145692	2	0 2145692	2 0.43	3	0 0.4	3	0 0.00	NA NA	214569
	FOREIGN COMPANIES			1 1735717	7 0		0 1735717			/	0 1735717		5	0 0.3	5	0 0.00	NA NA	
	NON RESIDENT INDIANS		61				0 6813429		6813429		0 6813429			0 1.3	5	0 0.00		679743
	CLEARING MEMBERS			70 1301612			0 1301612		1301612		0 1301612			0 0.2	-	0 0.00		130161
	NON RESIDENT INDIAN NON REPATRIABLE		29				0 4092235		4092235		0 4092235	-		0 0.8	_	0 0.00		409223
	BODIES CORPORATES		38				0 5779431		5779431		0 5779431			0 1.1	_	0 0.00		571924
	IEPF			1 444285	5 0		0 444285	0.09	444285	5	0 444285	5 0.09	)	0 0.0	19	0 0.00	NA NA	44428
	FOREIGN CORPORATE BODIES			1 18773160	0 0		0 18773160	3.73	18773160		0 18773160		3	0 3.7	/3	0 0.00	NA NA	1877316
	BNP PARIBAS LEASE GROUP	AAECB1361N		1 18773160	0 0		0 18773160	3.73	18773160		0 18773160	3.73	3	0 3.7	'3	0 0.00	NA NA	1877316
	Sub Total (B)(3)		11485	53 196743985	5 0		0 196743985	39.11	196743985	5	0 196743985	5 39.11	1	0 39.1	1	0 0.00		19426861
	Total Public Shareholding $(B) = (B)(1)+(B)(2)+(B)(3)$		11485	58 197217774	4 0		0 197217774	39.20	197217774	L	0 197217774	4 39.20		0 39.2	20	0 0.00		19456240

#### Note:

\* Shareholding has been consolidated based on PAN of the shareholders.# Shareholding has been consolidated based on PAN of the shareholders. However, the name of the largest shareholder is being reflected.

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense

#### Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

the Shareholder			No of fully paid up		No of Shares	Total No of Shares	Shareholding	Number of Ve	ting Dights ha	ld in each ales	a of accuration	N. CCL	CL	Number	I I Cl	Number of Sha		Number of the
		Shareholders	a anitar ah anaa hald				Sharcholung	Number of vo	oung Rights ne	iu în each clas	s of securities	No of Shares	Shareholding as a %	Number of	Locked in Snare	s inumber of Sha	ares pleaged or	Number of equity
	1		equity snares neid	up equity shares	Underlying	Held (IV+V+VI)	as a % of					Underlying	assuming full			otherwise encu	mbered	shares held in
				held	Depository		total no of					Outstanding	conversion of					dematerialized
					Receipts		shares					converttible securities	convertible Securities					form
							(A+B+C2)					(Including Warrants)	(as a percentage of					
												· · ·	diluted share capital)					
								N	o of Voting Ri	ghts	Total as a %			No.	As a % of	No.	As a % of total	
											of (A+B+C)				total Shares		Shares held	
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)		(X)	(XI)		(XII)		(XIII)	(XIV)
		0	0	0	0	0	0.00	) (	0	0	0 0.0	0 (	0.	00	0.0	0 NA	NA	0
st (under SEBI(Share based Employee Benefit)																		
		0	0	0	0	0	0.00		b	0	0 0.0	0 (	0.	00	0 0.0	0 NA	NA	0
-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00		n	0	0 00	0 (	) 0	00	0 0(	0		0
	(I) st (under SEBI(Share based Employee Benefit) -Non Public Shareholding (C) = (C)(1)+(C)(2)	st (under SEBI(Share based Employee Benefit)	st (under SEBI(Share based Employee Benefit) 0	st (under SEBI(Share based Employee Benefit)	st (under SEBI(Share based Employee Benefit)	Image: Image of the sector of the s	Image: Image of the set of the s	Image: Constraint of the second sec	Image: second	Image: second	Image: state of the sead Employee Benefit)       Image: state of the sead Employee Benefit)       Image: state of the sead of	$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	$ \begin{array}{ c c c c c c } \hline \begin{tabular}{ c c c c c c } \hline \begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	Image: spectrum spectr	$ \begin{array}{ c c c c c c c c c } \hline \begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$

Sr. No.	Details of the SF	30 (I)		Details of	f the register (II)	ed owner	Details of holding/exercise of r of the SBO in the repor company, whether direct indirect*: (III)	ting creation /
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Voting rights	beneficial interest# (IV)
1.	Hari Prasad Kanoria (Trustee of Kanoria Foundation)	AFZPK270 5G	Indian	Adisri Commer cial Private Limited	AAMCA3 696H	Indian	Exercise of control	24.02.2014

# Table V- Statement showing details of significant beneficial owners (SBOs)

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

Note:

- Mr. Hari Prasad Kanoria is the Trustee of Kanoria Foundation (a Discretionary Trust)
- Kanoria Foundation (through its trustee Hari Prasad Kanoria) holds 1,99,800 shares (99.90% of the paid up share capital) of Adisri Commercial Private Limited
- Mr. Hari Prasad Kanoria holds 100 shares (0.05% of the paid up share capital) of Adisri Commercial Private Limited.