



SEFL/SECT/NB/23-24/10

April 26, 2023

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1, G Block
Bandra - Kurla Complex
Bandra (E), Mumbai – 400 051

Dear Sirs,

Sub: Certificate under Regulation 61(4) of SEBI (LODR) Regulations 2015

Pursuant to Regulation 61(4) read with Regulation 40(9) of the SEBI (LODR) Regulations 2015 please find enclosed herewith the Certificate obtained from Practicing Company Secretary for the Financial Year ended on 31st March, 2023.

This is for your information and record.

Thanking you,

Yours faithfully,

For **Srei Equipment Finance Limited**

Sumit Kumar Surana
Company Secretary
ACS 19243

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +81 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700 046



Riteek Baheti

B.Com (Hons), Practicing Company Secretary,
LLB

**CERTIFICATE UNDER REGULATION 61(4) AND REGULATION 40(9) OF SEBI
(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

We have examined the registers, files and other documents related to **SREI EQUIPMENT FINANCE LIMITED** (the 'Company'), CIN:U70101WB2006PLC109898, maintained by Registrar and Share Transfer Agents ('RTAs') of the Company viz **M/S Kfin Technologies Limited** and **M/S. S.K. Infosolutions Private Limited** (the 'RTAs'), for the period **1st April 2022 till 31st March, 2023**, for the purpose of issuing the Certificate as per Regulation 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on examination and information provided by the RTAs/the Company, we hereby certify that the Company has complied with requirements as specified in Regulation 40(9) of SEBI (LODR) Regulations, 2015 for transfer and transmission of Securities including procedural requirements specified in Schedule VII of the SEBI (LODR) Regulations, 2015.

For **Riteek Baheti & Associates**
Practicing Company Secretary


CS Riteek Baheti
(Proprietor)

M. No. 48468; C.P No. 17766
UDIN: A048468E000195274

Place: Kolkata
Date: 26.04.2023

Riteek Baheti & Associates

📍 BD-37, Rabindrapally, Natural Green Complex, BI - 10, Floor - 1B, Kestopur, Kolkata - 700 101
☎ : 96740 41888 / 98362 35070, 📧 : rkbprofessionalservices@gmail.com