



SEFL/SECT/NB/23-24/25

July 01, 2023

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai - 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1, G Block
Bandra-Kurla Complex
Bandra (E), Mumbai - 400 051

Dear Sir,

Sub: SEBI (LODR) Regulations, 2015- Compliance under Regulation 6(1)

Please find enclosed herewith the details of Compliance Officer of the Company as on June 30, 2023 as specified under Regulation 6(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking you.

Yours faithfully,
For **Srei Equipment Finance Limited**

Sumit Kumar Surana
Company Secretary
ACS 19243

Encl: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +81 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700046

DETAILS OF COMPLIANCE OFFICER OF THE COMPANY

Quarters	Name of the Company	Name of Compliance Officer	Whether Compliance Officer Qualified Company Secretary (Yes/No)	Membership No.	Date of Appointment	Date of resignation, if any
Dec - 2020	Srei Equipment Finance Limited	Ritu Bhojak	Yes	FCS 8532	27.11.2017	
Mar - 2021	Srei Equipment Finance Limited	Ritu Bhojak	Yes	FCS 8532	27.11.2017	
June- 2021	Srei Equipment Finance Limited	Ritu Bhojak (Resigned w.e.f. 10.05.2021)	Yes	FCS 8532	27.11.2017	10.05.2021
Sept - 2021	Srei Equipment Finance Limited	Sumit Kumar Surana (Appointed w.e.f. 20.08.2021)	Yes	ACS 19243	20.08.2021	
Dec - 2021	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	
Mar - 2022	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	
June - 2022	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	
Sept - 2022	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	
Dec - 2022	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	
Mar - 2023	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	
June – 2023	Srei Equipment Finance Limited	Sumit Kumar Surana	Yes	ACS 19243	20.08.2021	



SEFL/SECT/NB/23-24/26

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BSE Limited
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Mumbai - 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1, G Block
Bandra-Kurla Complex
Bandra (E), Mumbai - 400 051

Dear Sir,

Sub: SEBI (LODR) Regulations, 2015 - Compliance under Regulation 7(1)

Please find enclosed herewith the details of RTA of the Company as on June 30, 2023 as specified under Regulation 7(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking you.

Yours faithfully,
For **Srei Equipment Finance Limited**

Sumit Kumar Surana
Company Secretary
ACS 19243

Encl: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +81 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700046

Details of RTA

Quarters	Name of Company	Name of RTA	Date of Appointment	Date of cessation/change, if any
Dec – 2020	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	Appointed during the Financial Year 2017-2018 and during the Financial Year 2015-2016 for Equity Shares and Non-Convertible Debentures issued on Private Placement basis and to the Public respectively	-
Mar – 2021	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-
June – 2021	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-

Sep – 2021	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-
Dec – 2021	Srei Equipment Finance Limited	<p>KFin Technologies Private Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-
Mar – 2022	Srei Equipment Finance Limited	<p>KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	Name changed to KFin Technologies Limited
Jun – 2022	Srei Equipment Finance Limited	<p>KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-

Sept – 2022	Srei Equipment Finance Limited	<p>KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-
Dec - 2022	Srei Equipment Finance Limited	<p>KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-
Mar - 2023	Srei Equipment Finance Limited	<p>KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-
June – 2023	Srei Equipment Finance Limited	<p>KFin Technologies Limited (For Equity shares, Public Issue and Private Placement of Non-Convertible Debentures of the Company)</p> <p>S. K. Infosolutions Private Limited (For Non-Convertible Debentures issued on Private Placement basis)</p>	-	-