



**SIFL/SECT/RS/22-23/119**

January 12, 2023

The Secretary  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai - 400 001  
Fax: 022-2272 2037/2039/2041/3121  
**BSE Scrip Code: 523756**

The Secretary  
**National Stock Exchange of India Limited**  
Exchange Plaza, 5th Floor, Plot no. C/1  
G Block, Bandra-Kurla Complex  
Bandra (E), Mumbai - 400 051  
Fax: 022-2659 8237/38; 2659 8347/48  
**NSE Symbol: SREINFRA**

Dear Sir,

**Sub: Structured Digital Database (SDD) Compliance Certificate for the Quarter Ended  
December 31, 2022**

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015, please find enclosed herewith the Structured Digital Database (SDD) Compliance Certificate of the Company for the Quarter ended on 31st December, 2022, in the prescribed format. The same is also being hosted on the Company's website [www.srei.com](http://www.srei.com).

Thanking you.

Yours faithfully,  
For **Srei Infrastructure Finance Limited**

**Manoj Kumar**  
**Company Secretary & Chief Financial Officer**  
**FCS 6698**

Encl.: as above

**Srei Infrastructure Finance Limited**

CIN: L29219WB1985PLC055352

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Registered Office: 'Vishwakarma' 86C, Topsia Road (South), Kolkata - 700046

Tel.: +91.33.22850112-15, 61607734, Fax: +91.33.2285 7542/8501

Email: [corporate@srei.com](mailto:corporate@srei.com) Website: [www.srei.com](http://www.srei.com)



**SACHIN KUMAR, B.Com(H), ACS**  
**COMPANY SECRETARY JN WHOLE-TIME PRACTICE**

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**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 2022**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Sachin Kumar, Practising Company Secretary appointed by SREI INFRASTRUCTURE FINANCE LIMITED am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and maintained with Password Security.

I also confirm that the Company was not required to capture any events of non – compliance during the quarter end under review and hence, it has not captured any events of Non – Compliance.

I would like to report that no non – compliance was observed in the quarter under review and hence no remedial action was required to be taken in this regard.

For SREI INFRASTRUCTURE FINANCE LIMITED



**SACHIN KUMAR**  
Practising Company Secretary  
M. No. 37957  
C.P No. 14154  
UDIN: A037957D002922091

Date: 09/01/2023  
Place- Kolkata