



SEFL/SECT/MS/22-23/77

November 12, 2022

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1, G Block
Bandra – Kurla Complex
Bandra (E), Mumbai – 400 051

Dear Sirs,

Sub: Structured Digital Database (SDD) Compliance Certificate for the Quarter Ended September 30, 2022

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015), please find enclosed herewith the Structured Digital Database (SDD) Compliance Certificate of the Company for the Quarter ended on 30th September, 2022, in the prescribed format. The same is also being hosted on the Company's website www.srei.com.

Thanking you.

Yours faithfully,
For **Srei Equipment Finance Limited**

Sumit Kumar Surana
Company Secretary
ACS 19243

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700046



Riteek Baheti

B.Com (Hons), Practising Company Secretary,
LLB

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Riteek Baheti**, Practising Company Secretary appointed by **SREI EQUIPMENT FINANCE LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1(One) Number of events during the quarter ended and has captured 1(One) number of the said required events.

I would like to report that no non-compliance was observed in the quarter under review and hence no remedial action was required to be taken in this regard.

For **Riteek Baheti & Associates**



Riteek Baheti

(Practising Company Secretary)

M.No:- 48468, C.P No:- 17766

UDIN: A048468D001539133

Date: 07.11.2022

Place: Kolkata

Riteek Baheti & Associates

BD-37, Rabindrapally, Natural Green Complex, BI - 10, Floor - 1B, Kestopur, Kolkata - 700 101
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