



SEFL\SECT\IM\22-23\110

January 20, 2023

BSE Limited

Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai – 400 001

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor
Plot No. C/1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai – 400 051

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 31st December, 2022

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 31st December, 2022.

This is for your information and record.

Thanking you,

Yours faithfully,

For **Srei Equipment Finance Limited**

Sumit Surana

Company Secretary

ACS 19243

Encl.: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700 046

KFL/SEFL/IGR/DEC2022

Date: January 19, 2023

 Srei Equipment Finance Limited
 Y-10, Block EP, Salt Lake City,
 Sector - V, Kolkata - 700 091

Dear Sir,

Unit: Srei Equipment Finance Limited

Sub: Investor Grievance for the Period from 01.10.2022 – 31.12.2022 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended December 31, 2022.

Description	Total
Number of Investor Complaints pending at the beginning of the quarter	3
Received during the quarter	759
Resolved queries during the quarter	751
Unresolved queries at the end of the quarter	11

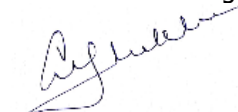
Break-up of Investors' Grievances received during the quarter 31.12.2022:

Non Receipt of Interest Amount	:	100
Non Receipt of Redemption Amount	:	502
Company Updates	:	21
Change of Address	:	02
Update e-mail	:	02
Update contact no.	:	01
Allotment Related	:	01
Buy Back	:	01
Certificate Correction	:	01
DATA Room & E- Voting	:	16
NSDL & CDSL Operations	:	03
DP to DP transfer	:	01
Revalidation	:	03
Miscellaneous	:	77
Srei First Account Related	:	01
Wrong Credit	:	01
BSE, NSE, RBI & SCORE/SEBI Complaints	:	26
Total	:	759

Thanking you.

Yours Faithfully

For KFin Technologies Ltd.



 Chandra Shekhar C
 Deputy Manager

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

 Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,
 Serilingampally Hyderabad Rangareddi, Telangana – 500032, India

CIN : U72400TG2017PLC117649

KFPL/SEFL/QTR/DEC/22
To
The Company Secretary
SREI EQUIPMENT FINANCE LIMITED
KOLKATA

Dear Sir,

Status of complaints from Equity holders for the period October 1, 2022, to December 31, 2022

Particulars	No. of Complaints
Complaints pending as on October 1 2022	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on December 31, 2022	Nil

Thanking You
Yours faithfully,
for KFIN TECHNOLOGIES LIMITED



Hanumantha Rao P
Senior Manager



S K INFOSOLUTIONS PVT LIMITED

CIN NO: U72300WB1999PTC090120

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)

D/42 KATJU NAGAR, GROUND FLOOR, (Near: South City Mall) JADAVPUR, KOLKATA

PIN-700032

Telephone No: 033-24120027 & 033-24120029 Fax No 033-24120027

Email id :contact@skcinfo.com and skcdilip@gmail.com

Website:www.skcinfo.com

Ref : SKC/SE/STATUS-RPT/01-10-2022 TO 31-12-2022

Date : 31/12/2022

M/S SREI EQUIPMENT FINANCE LIMITED
PLOT NO-Y-10, BLOCK-EP,
SECTOR-V, SALT LAKE CITY
KOLKATA
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the period 01-10-2022 TO 31-12-2022

- | | | |
|---|---|-----|
| 1. No. of investor complaints pending at the beginning of the quarter | = | NIL |
| 2. No. of investor complaints received during the period (01/10/2022 To 31/12/2022) | = | 3 |
| 3. No. of investor complaints disposed off during the period (01/10/2022 To 31/12/2022) | = | 2 |
| 4. No. of investor complaints lying unresolved at the end of the period. | = | 1 |

Thanking you,

Yours faithfully
For S K Infosolutions Pvt Ltd

Authorised Signatory



KFL/SEFL-PP/IGR/DEC2022

Date: January 17, 2023

 Srei Equipment Finance Limited
 Y-10, Block EP, Salt Lake City,
 Sector - V, Kolkata - 700 091

Dear Sir,

Unit: Srei Equipment Finance Limited – Private Placement

Sub: Investor Grievance for the Period from 01.10.2022 – 31.12.2022 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to Private Placement debentures/ Bonds for the quarter ended December 31, 2022.

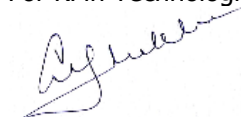
Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	00
Received During the Quarter	16
Resolved Queries during the Quarter	16
Unresolved Queries at the end of the Quarter	00

Break-up of Investors' Grievances received during the quarter 31.12.2022:

Non Receipt of Interest Amount	:	03
Non Receipt of Redemption Amount	:	08
Miscellaneous	:	05
Total	:	16

Thanking you.

 Yours Faithfully
 For KFin Technologies Ltd.



 Chandra Shekhar C
 Deputy Manager

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

 Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,
 Serilingampally Hyderabad Rangareddi, Telangana – 500032, India

CIN : U72400TG2017PLC117649