



SEFL\SECT\NB\23-24\05

April 21, 2023

BSE Limited

Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai – 400 001

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor
Plot No. C/1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai – 400 051

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 31st March,2023

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 31st March, 2023.

This is for your information and record.

Thanking you,

Yours faithfully,

For **Srei Equipment Finance Limited**

Sumit Surana

Company Secretary

ACS 19243

Encl.: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Head Office: Plot No. Y-10, Block EP, Sector - V, Salt Lake City, Kolkata – 700091

Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)

Email: sefl@srei.com Website: www.srei.com

Registered Office: "Vishwakarma", 86C Topsia Road (South), Kolkata - 700 046

KFPL/SEFL/QTR/MAR/23
To
The Company Secretary
SREI EQUIPMENT FINANCE LIMITED
KOLKATA

Dear Sir,

Status of complaints from Equity holders for the period Jan 1, 2023, to March 31, 2023

Particulars	No. of Complaints
Complaints pending as on Jan 1, 2023	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on March 31, 2023	Nil

Thanking You

Yours faithfully,
for KFIN TECHNOLOGIES LIMITED



Hanumantha Rao P
Senior Manager

KFL/SEFL/IGR/MAR 2023

Date: April 14, 2023

 Srei Equipment Finance Limited
 Y-10, Block EP, Salt Lake City,
 Sector - V, Kolkata - 700 091

Dear Sir,

 Unit: Srei Equipment Finance Limited
 Sub: Investor Grievance for the Period from 01.01.2023 – 31.03.2023 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended March 31, 2023.

Description	Total
Number of Investor Complaints pending at the beginning of the quarter 01 st January' 2023	11
Received during the quarter (01.01.2023 – 31.03.2023)	768
Resolved queries during the quarter (01.01.2023 – 31.03.2023)	773
Unresolved queries at the end of the quarter 31 st March 2023	6

Break-up of Investors' Grievances received during the quarter 31.03.2023:

Non Receipt of Interest Amount	:	90
Non Receipt of Redemption Amount	:	499
Non Receipt of Annual Report	:	01
Non Receipt of Securities	:	01
Company Updates	:	18
Bank mandate change	:	01
Update e-mail	:	01
Update contact no.	:	01
Allotment Related	:	01
Certificate Correction	:	01
Resolution, Data Room & E- Voting	:	118
Demat	:	02
Issue of Duplicate Certificate	:	01
Revalidation	:	02
Miscellaneous	:	11
BSE, NSE, RBI & SCORE/SEBI Complaints	:	20

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:


 Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,
 Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

CIN: L72400TG2017PLC117649

Total : 768

Thanking you.

Yours Faithfully
For KFin Technologies Ltd.



Chandra Shekhar C
Deputy Manager

KFL/SEFL-PP/IGR/MAR2023

Date: April 14, 2023

Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City,
Sector - V, Kolkata - 700 091

Dear Sir,

Unit: Srei Equipment Finance Limited – Private Placement

Sub: Investor Grievance for the Period from 01.01.2023 – 31.03.2023 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to Private Placement debentures/ Bonds for the quarter ended March 31, 2023.

Description	Total
Number of Investor Complaints pending at the beginning of the quarter 01 st January' 2023	1
Received during the quarter (01.01.2023 – 31.03.2023)	5
Resolved queries during the quarter (01.01.2023 – 31.03.2023)	5
Unresolved queries at the end of the quarter 31 st March 2023	1


Break-up of Investors' Grievances received during the quarter 31.03.2023:

Non Receipt of Interest Amount	:	05
Total	:	05

Note: *Kindly consider one complaint which is shown as opening as on 01st January' 2023 was missed out to shown as pending compliant in the last quarter 31st December 2022 report.*

Thanking you.

Yours Faithfully
For KFin Technologies Ltd.



Chandra Shekhar C
Deputy Manager



SK INFOSOLUTIONS PVT LIMITED

CIN NO: U72300WB1999PTC090120

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)

D/42 KATJU NAGAR, GROUND FLOOR, (Near: South City Mall) JADAVPUR, KOLKATA

PIN-700032

Telephone No: 033-24120027 & 033-24120029 Fax No 033-24120027

Email id : contact@skcinfo.com and skcdilip@gmail.com

Website: www.skinfo.com

Ref : SKC/SE/STATUS-RPT/01-01-2023 TO 31-03-2023

Date : 31/03/2023

M/S SREI EQUIPMENT FINANCE LIMITED

PLOT NO-Y-10, BLOCK-EP,

SECTOR-V, SALT LAKE CITY

KOLKATA

PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the period 01-01-2023 TO 31-03-2023

- | | | |
|-----------------------------------------------------------------------------------------|---|-----|
| 1. No. of investor complaints pending at the beginning of the quarter | = | NIL |
| 2. No of investor complaints received during the period (01/01/2023 To 31/03/2023) | = | 3 |
| 3. No. of investor complaints disposed off during the period (01/01/2023 To 31/03/2023) | = | 3 |
| 4. No. of investor complaints lying unresolved at the end of the period. | = | 0 |

Thanking you,

Yours faithfully

For S K Infosolutions Pvt Ltd

Authorised Signatory



Note: In the IG Report for the previous quarter ended on Dec 31, 2022, the number of complaints pending with us was shown as 1 (one). However we hereby confirm that the ISIN related to the said complaint does not fall under our purview and was inadvertently shown in our report. Please note that it has been excluded from the IG Report of the Qtr. ended on Mar 31, 2023."