



SIFL/SECT/RS/22-23/82

October 28, 2022

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai - 400 001
Fax: 022-2272 2037/2039/2041/3121
BSE Scrip Code: 523756

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1
G Block, Bandra-Kurla Complex
Bandra (E), Mumbai - 400 051
Fax: 022-2659 8237/38; 2659 8347/48
NSE Symbol: SREINFRA

Dear Sir,

Sub: Structured Digital Database (SDD) Compliance Certificate for the Quarter Ended September 30, 2022

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015), please find enclosed herewith the Structured Digital Database (SDD) Compliance Certificate of the Company for the Quarter ended on 30th September, 2022, in the prescribed format. The same is also being hosted on the Company's website www.srei.com.

Thanking you.

Yours faithfully,
For **Srei Infrastructure Finance Limited**

Manoj Kumar
Company Secretary & Chief Financial Officer
FCS 6698

Encl.: as above

Srei Infrastructure Finance Limited

CIN: L29219WB1985PLC055352

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Registered Office: 'Vishwakarma' 86C, Topsia Road (South), Kolkata - 700046

Tel.: +91.33.22850112-15, 61607734, Fax: +91.33.2285 7542/8501

Email: corporate@srei.com Website: www.srei.com



Riteek Baheti

B.Com (Hons), Practicing Company Secretary,
LLB

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India [Prohibition of Insider Trading] Regulation, 2015)

I have examined the following **SDD(Structured Digital Database)** compliance requirement of **SREI INFRASTRUCTURE FINANCE LIMITED("the Company")** having its registered office situated at -**"Vishwakarma", 86C Topsia Road(South), Kolkata-700046** and certify that the Company is Listed on **BSE Limited & NSE (National Stock Exchange)** and has maintained a Structured Digital Database(SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India(Prohibition of Insider Trading) Regulations, 2015 as applicable:

Sl.No.	Compliance Requirement	Yes/No	Observation/Remarks
1.	Whether the company has a Structured Digital Database in place?	Yes	
2.	Whether control exists as to who can access the SDD for read/write along with the names and PAN of such persons?	Yes	
3.	Whether all the UPSI had been captured in the database. If not details of events that had not been captured and the reason for the same?	Yes	
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	
5.	Whether nature of UPSI have been captured along with date and time?	Yes	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	
8.	Whether the database has been maintained internally?	Yes	
9.	Whether audit trail is maintained?	Yes	
10.	Whether time stamping is maintained	Yes	
11.	Whether the database is non-tamperable?	Yes	
12.	Any other measures to ensure non-tamperability of the Database?	Yes	

Riteek Baheti & Associates

9 BD-37, Rabindrapally, Natural Green Complex, BI - 10, Floor - 1B, Kestopur, Kolkata - 700001

☎ : 96740 41888 / 98362 35070, ✉ : rkbprofessionalservices@gmail.com





Riteek Baheti

B.Com (Hons), Practicing Company Secretary,
LLB

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure

The number of days for which non-compliance was observed: Nil

Further I also confirm that there was no event which company was required to capture during the quarter ended September 30, 2022.

Date: 19.10.2022

Place: Kolkata

For Riteek Baheti & Associates
Company Secretaries



M.No. : 48468, C.P.No.: 17766

Peer Review No.: 2677/2022

UDIN:A048468D001297861

Riteek Baheti & Associates

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