



SIFL/SECT/RS/22-23/85

November 10, 2022

The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai - 400 001
Fax: 022-2272 2037/2039/2041/3121
BSE Scrip Code: 523756

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot no. C/1
G Block, Bandra-Kurla Complex
Bandra (E), Mumbai - 400 051
Fax: 022-2659 8237/38; 2659 8347/48
NSE Symbol: SREINFRA

Dear Sir,

Sub: Structured Digital Database (SDD) Compliance Certificate for the Quarter Ended September 30, 2022

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015), please find enclosed herewith the Structured Digital Database (SDD) Compliance Certificate of the Company for the Quarter ended on 30th September, 2022, in the prescribed format. The same is also being hosted on the Company's website www.srei.com.

Thanking you.

Yours faithfully,
For **Srei Infrastructure Finance Limited**

Manoj Kumar
Company Secretary & Chief Financial Officer
FCS 6698

Encl.: as above

Srei Infrastructure Finance Limited

CIN: L29219WB1985PLC055352

(A Company under Corporate Insolvency Resolution Process vide NCLT, Kolkata Order dated October 08, 2021)

Registered Office: 'Vishwakarma' 86C, Topsia Road (South), Kolkata - 700046

Tel.: +91.33.22850112-15, 61607734, Fax: +91.33.2285 7542/8501

Email: corporate@srei.com Website: www.srei.com



Riteek Baheti

B.Com (Hons), Practising Company Secretary,
LLB

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER,2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Riteek Baheti**, Practising Company Secretary appointed by **SREI INFRASTRUCTURE FINANCE LIMITED** am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was not required to capture any events of non-compliance during the quarter end under review hence, it has not captured any events of Non-Compliance.

I would like to report that no non-compliance was observed in the quarter under review and hence no remedial action was required to be taken in this regard.

For Riteek Baheti & Associates



Riteek Baheti

(Practising Company Secretary)

M.No:- 48468, C.P No:- 17766

UDIN:- A048468D001529101

Date: 07.11.2022

Place: Kolkata

Riteek Baheti & Associates

BD-37, Rabindrapally, Natural Green Complex, BI - 10, Floor - 1B, Kestopur, Kolkata - 700 101

☎ : 96740 41888 / 98362 35070, ✉ : rkbprofessionalservices@gmail.com