



SIFL/SECT/EA/16-17/93

July 14, 2016

The Secretary  
**The Calcutta Stock Exchange Limited**  
7 Lyons Range  
Kolkata - 700 001  
Fax: 033-2210 4500/4491; 2230 3020  
**CSE Scrip Code: 29051**

Dear Sir,

**Sub: Shareholding Pattern for the Quarter ended on 30th June, 2016**

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Shareholding pattern of the Company for the Quarter ended on 30th June, 2016 in the prescribed format. The same is also being posted on the Company's website [www.srei.com](http://www.srei.com).

Thanking you.

Yours faithfully,  
For **Srei Infrastructure Finance Limited**

**Sandeep Lakhotia**  
**Company Secretary**  
**FCS 7671**

Encl.: as above

cc : The Secretary

- 1. BSE Limited**  
PhirozeJeejeebhoy Towers  
Dalal Street, Mumbai - 400 001  
Fax: 022-2272 2037/2039/2041/3121  
**BSE Scrip Code: 523756**
- 2. National Stock Exchange of India Limited**  
Exchange Plaza, 5th Floor, Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai - 400 051  
Fax: 022-2659 8237/38; 2659 8347/48  
**NSE Symbol: SREINFRA**

**Srei Infrastructure Finance Limited**

CIN: L29219WB1985PLC055352

Registered Office : 'Vishwakarma' 86C, Topsia Road (South), Kolkata - 700 046

Tel.: +91 33 22850112-15, 61607734 Fax: +91 33 2285 8501/7542

Email: [corporate@srei.com](mailto:corporate@srei.com) Website: [www.srei.com](http://www.srei.com)

Format of Holding of Specified securities

1. Name of Listed Entity: SREI INFRASTRUCTURE FINANCE LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 523756/SREINFRA/29051/EQUITY SHARES
3. Share Holding Pattern Filed under: Reg.31(1)(b)
  - a. if under 31(1)(b) then indicate the report for quarter ending: **30th June, 2016**
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment: N. A.

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares	-	NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	NO
c Whether the Listed Entity has any shares against which depository receipts are issued?	YES**	
d Whether the Listed Entity has any shares in locked-in?	-	NO
e Whether any shares held by promoters are pledge or otherwise encumbered?	-	NO

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

\*\* Listing and Trading of GDRs is cancelled w.e.f. May 16, 2016.



SREI INFRASTRUCTURE FINANCE LIMITED

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights in each class of securities	No of Shares Underlying convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI)=(VII) + (X). As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
											As a % of total Shares held (b)	No. (a)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)
(A)	Promoter & Promoter Group	4	305868559	0	0	305868559	60.80	305868559	0	0	60.80	0	0.00	305868559
(B)	Public	51373	197196174	0	0	197196174	39.20	197196174	0	0	39.20	0	0.00	194015532
(C)	Non Promoter-Non Public	1	21600	0	0	21600	0.00	21600	0	0	0.00	0	0.00	21600
(C1)	Shares underlying DRs*	1	21600	0	0	21600	0.00	21600	0	0	0.00	0	0.00	21600
(C2)	Shares held by Employee Trusts	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0
	<b>Total:</b>	<b>51378</b>	<b>503086333</b>	<b>0</b>	<b>0</b>	<b>503086333</b>	<b>100.00</b>	<b>503086333</b>	<b>0</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>499905591</b>
								<b>Total</b>	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)
								No of Voting Rights						
								Total as a % of (A+B+C)						



\*Listing and Trading of GDRs is cancelled w e f May 16, 2016.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII+IV+V)	Shareholding % calculated as per SCRR, 1957, As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X), As a % of (A+B+C2)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form		
								Class X	Class Y	Total							
	(i)	(ii)	(iv)	(v)	(vi)	(vii)	(viii)	No of Voting Rights			(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	
(a) Indian:																	
Individuals (includes undivided Family)																	
SUNIL KANGRIA	ERTPN7014E	1	2182714	0	0	2182714	0.43	2182714	0	0.43	0	0.00	0	0.00	0	0.00	2182714
HEMANT KANGRIA	ALGPK3Y0H	1	1803714	0	0	1803714	0.36	1803714	0	0.36	0	0.00	0	0.00	0	0.00	1803714
Central Government/State Government(s)																	
Financial Institutions/Banks																	
Any Other																	
(b) BHAVAH ENTERPRISE PRIVATE LIMITED	AAFCB744E	1	30268545	0	0	30268545	60.37	30268545	0	60.37	0	0.00	0	0.00	0	0.00	30268545
ADJIBI COMMERCIAL PRIVATE LIMITED	AAJMC365FH	1	10000	0	0	10000	0.02	10000	0	0.02	0	0.00	0	0.00	0	0.00	10000
Sub-Total (A)(1)		4	30568559	0	0	30568559	60.80	30568559	0	60.80	0	0.00	0	0.00	0	0.00	30568559
(c) Foreign:																	
Individuals (Non-Resident Individuals/Foreign Individuals)																	
Government																	
Institutions																	
Foreign Portfolio Investor																	
Any Other																	
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A)(A)(1)+(A)(2)		4	30568559	0	0	30568559	60.80	30568559	0	60.80	0	0.00	0	0.00	0	0.00	30568559

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 2B(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholder	PAIN	No of Shareholders	No of fully paid up equity shares held	Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VI) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957, As a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities				Total as a % of Total Voting rights	No of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	As a % of total Shares held (b)	No.(Not applicable) As a % of total Shares held (b)	Number of equity shares held in dematerialized form
								Class X	Class Y	Class Z	Total								
	(i)	(ii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	(xix)	
(1) Institutions																			
(a) Mutual Funds		4	3018249	0	0	3018249	0.60	3018249	0.60	0	0.00	0	0.00	0	0.00	0	0.00	0	3001129
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(e) Foreign Portfolio Investors		73	6344862	0	0	6344862	12.69	6344862	12.69	0	0.00	0	0.00	0	0.00	0	0.00	0	6366882
(f) FIDELITY INVESTMENT TRUST FIDELITY SERIES																			
(g) FIFTEENMARKETS FUND		1	42943661	0	0	42943661	8.54	42943661	8.54	0	0.00	0	0.00	0	0.00	0	0.00	0	42943661
(h) Financial Institutions/Banks		3	182157	0	0	182157	0.04	182157	0.04	0	0.00	0	0.00	0	0.00	0	0.00	0	182157
(i) Insurance Companies		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(j) Promoter/Foreign Portfolio Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(k) Any Other																			
(l) FOREIGN CORPORATE		1	178717	0	0	178717	0.35	178717	0.35	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(m) FOREIGN CORPORATE BODIES		1	2515417	0	0	2515417	5.00	2515417	5.00	0	0.00	0	0.00	0	0.00	0	0.00	0	2515417
(n) BNP PARIBAS LEASE GROUP		1	2515417	0	0	2515417	5.00	2515417	5.00	0	0.00	0	0.00	0	0.00	0	0.00	0	2515417
(o) Sub Total (B)(1)		81	93939122	0	0	93939122	18.67	93939122	18.67	0	0.00	0	0.00	0	0.00	0	0.00	0	93939122
(2) Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(3) Non-Institutions		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(4) Sub Total (B)(2)																			
(5) Individual shareholders holding nominal share capital up to Rs.2 lakhs		49043	23361791	0	0	23361791	4.64	23361791	4.64	0	0.00	0	0.00	0	0.00	0	0.00	0	23361791
(6) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		161	44591456	0	0	44591456	8.86	44591456	8.86	0	0.00	0	0.00	0	0.00	0	0.00	0	44591456
(7) SURAYA GHOSH		1	5638750	0	0	5638750	1.12	5638750	1.12	0	0.00	0	0.00	0	0.00	0	0.00	0	5638750
(8) SAULI KUMAR GUPTA		1	23445683	0	0	23445683	4.66	23445683	4.66	0	0.00	0	0.00	0	0.00	0	0.00	0	23445683
(9) NBFCs Registered with RBI		6	96066	0	0	96066	0.02	96066	0.02	0	0.00	0	0.00	0	0.00	0	0.00	0	96066
(10) Employee Trusts		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(11) Overseas Depositories (Holding DRs)/Balancing (figure)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0
(12) Any Other																			
(13) TRUSTS		4	21980	0	0	21980	0.00	21980	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	21980
(14) NON-RESIDENT INDIANS		683	6591554	0	0	6591554	1.31	6591554	1.31	0	0.00	0	0.00	0	0.00	0	0.00	0	6591554
(15) CLEARING MEMBERS		520	2344304	0	0	2344304	0.47	2344304	0.47	0	0.00	0	0.00	0	0.00	0	0.00	0	2344304
(16) SECURITIES COMPANIES		915	26249001	0	0	26249001	5.22	26249001	5.22	0	0.00	0	0.00	0	0.00	0	0.00	0	26249001
(17) MUTUAL COMMERCIAL PRIVATE LIMITED		2	8854286	0	0	8854286	1.76	8854286	1.76	0	0.00	0	0.00	0	0.00	0	0.00	0	8854286
(18) Sub Total (B)(3)		51292	103370952	0	0	103370952	20.53	103370952	20.53	0	0.00	0	0.00	0	0.00	0	0.00	0	103370952
(19) Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		51373	197196174	0	0	197196174	39.26	197196174	39.26	0	0.00	0	0.00	0	0.00	0	0.00	0	197196174

Details of the shareholders acting in person in Consent including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:  
 (1) PAN would not be displayed on website of Stock Exchanges;  
 (2) The above format needs to be disclosed along with the name of following persons:  
 Institutions/Non-Institutions holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No of Shares Held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957. As a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form (Not Applicable)	
			(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	(IX)	(X)	(XI)	(XII)	(XIII)	
(1) Custodian/DR holder**** Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations 2014)			21600	0	0	21600	0.00	21600	0	21600	0.00	0	0.00	0.00	NA	21600
(2) Total Non Promoter Non Public Shareholding (C) = (C1)+(C)(2)			21600	0	0	21600	0.00	21600	0	21600	0.00	0	0.00	0.00	NA	21600

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

\*\*\*\*GDR Holders have no voting rights. Further, Listing and Trading of GDRs is cancelled w.e.f. May 16, 2016.

