

SEFL\SECT\MS\21-22\28

July 13, 2021

BSE Limited

Corporate Relationship Department Phiroze Jeejeebhoy Towers Dalal Street, Fort Mumbai – 400 001

Fax: 022-2272 2037/2039/2041/3121

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051

Fax: 022-2659 8237/38; 2659 8347/48

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 30th June, 2021

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 30th June, 2021.

This is for your information and record.

Thanking you,

Yours faithfully,

For Srei Equipment Finance Limited

Arunabha Acharya

Assistant Company Secretary

ACS 37189

Encl.: as above

Srei Equipment Finance Limited CIN U70101WB2006PLC109898

Head Office: Y-10, Block - EP, Sector - V, Saltlake City, Kolkata - 700 091

Tel: +91 33 6639 4700 Fax: +91 33 6602 2600 Email: sefpl@srei.com Website: www.srei.com

Registered Office: 'Vishwakarma', 86C Topsia Road (South), Kolkata - 700 046



KFPL/SEFL/RIS/IGR/JUN/2021

Date: July 03, 2021

Srei Equipment Finance Limited Y-10, Block EP, Salt Lake City, Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited

Sub: Investor Grievance for the Period from 01.04.2021 – 30.06.2021 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/Bonds for the quarter ended June 30, 2021.

Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	06
Received During the Quarter	374
Resolved Queries during the Quarter	375
Unresolved Queries at the end of the Quarter	05

Break-up of Investors' Grievances received during the guarter 30.06.2021:

Non Receipt of Interest Amount	:	267
Non Receipt of Redemption Amount	:	89
Non Receipt of Additional Interest	:	01
Non Receipt of Interest Statement	:	02
Non Receipt of Certificates	:	03
Non Receipt of Annual Report	:	01
Allotment Related	:	02
Transmission	:	01
Scores/BSE Complaints	:	08
Total	:	374

Thanking you,

Yours Faithfully

For KFin Technologies Pvt. Ltd.

Chandra Shekhar C Deputy Manager



KCPL/SEFL/QTR/JUN-21

The Company Secretary
Srei Equipment Finance Limited
Kolkata

Dear Sir / Madam,

Status of complaints from Equity holders for the period April 01, 2021 to June 30, 2021

Particulars	No. of Complaints
Complaints pending as on April 01, 2021	Nil
Complaints received during the period	Nil
Complaints resolved during the period	Nil
Complaints pending as on June 30, 2021	Nil

For KFin Technologies Private Limited

Hanumantha Rao Patri Senior Manager

Phanomenta low

Place: Hyderabad Date: 13-07-2021



S K INFOSOLUTIONS PVT LIMITED

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL) D/42 KATJU NAGAR, GROUND FLOOR, (Near: South City Mall) JADAYPUR, KOLKATA

Telephone No: 033-24120027 & 033-24120029 Fax No 033-24120027

Email id :contact@skcinfo.com and skcdilip@gmail.com

Website:www:skcinfo.com

Ref :SKC/srei/STATUS_NCD/-01-04-2021 TO 30-06-2021. Date : 30.06.2021

M/S SREI EQUIPMENT FINANCE LIMITED PLOT NO-Y-10, BLOCK-EP, SECTOR-V. SALTLAKE CITY KOLKATA PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the quarter ended 30.06.2021. (NCD)

- 1. No. of investor complaints pending at the beginning of the quarter
- 2. No of investor complaints received during = NIL the quarter (01-04-2021 TO 30-06-2021)
- 3. No. of investor complaints disposed off during = NIL the quarter (01-04-2021 TO 30-06-2021)
- 4. No. of investor complaints lying unresolved at = N.A the end of the quarter

Thanking you,

For S K Infosolutio

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